

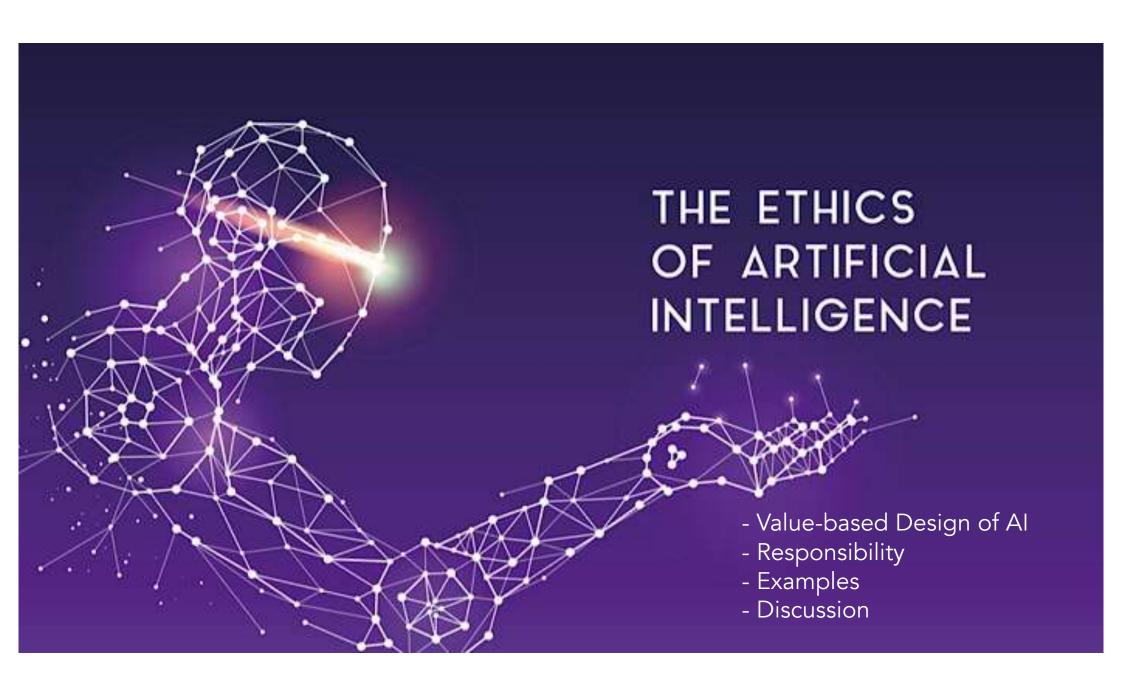
GOTHENBURG, SWEDEN



## ETHICS OF ARTIFICIAL INTELLIGENCE

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CHALMERS UNIVERSITY OF TECHNOLOGY

Software Engineering for Al Summer Course 10th August 2020







## ARTIFICIAL INTELLIGENCE & NATURAL INTELLIGENCE

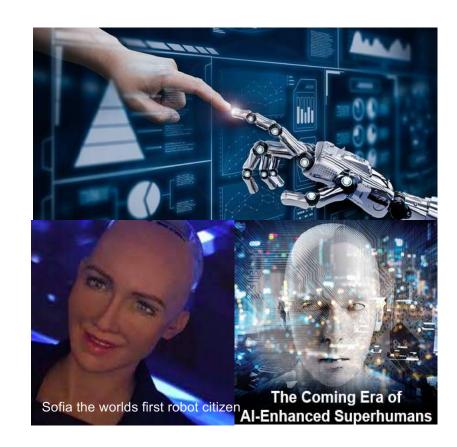
Definition of Al:

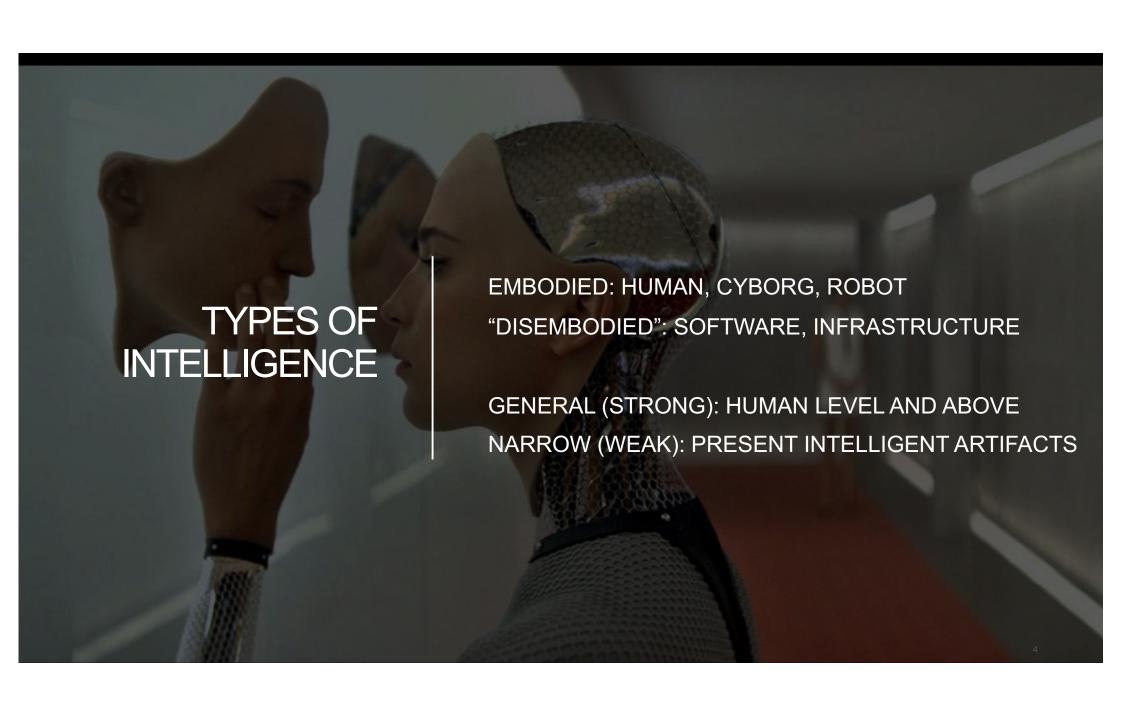
"The theory and development of computer systems able to perform tasks normally requiring human intelligence, such as visual perception, speech recognition, decision-making, and translation between languages."

The English Oxford Living Dictionary

Also, most importantly: learning and meta-learning (learning to learn)

TYPES OF INTELLIGENCE: NATURAL, ARTIFICIAL, MIX (LIKE CYBORGS)







## DIGITALIZATION & COGNITIZATION INTELLIGENCE & ARTIFICIAL INTELLIGENCE

- Digitalization happens in parallel with introduction of cognitive properties and intelligence into artifacts
- Ethical implications of the digitization of our society: new technologies are followed by regulatory and legal vacuums
- My background: theoretical physics, computer science, philosophy of computing and ethics, computational models of cognition, recent interest: interaction design Interdisciplinary elucidation of AI.



# DESIGN OF INTELLIGENT ARTIFACTS



https://www.iconfinder.com/iconsets/brain-service-2





- Ambient intelligence
- Autonomous Intelligent Systems
- Intelligent Robots & Softbots
- Intelligent transportation
- Intelligent Cities
- Intelligent IoT
- Decision Making Algorithms



https://bitcoinist.com/crypto-mining-becoming-concern-us-cities/



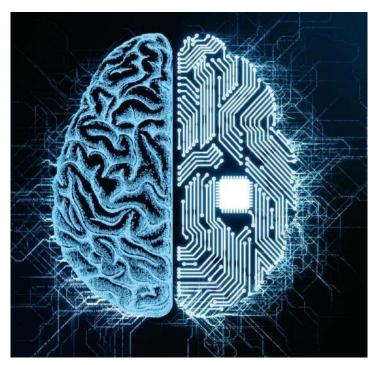
#### DESIGN OF INTELLIGENT ARTIFACTS

- Intelligent Health-Care Systems
- Intelligent Personalized Medicine
- Cognitive enhancements

<u>Theodore Berger</u> (University of Southern California, L.A.) <u>Engineering Memories: A Cognitive Neural Prosthesis</u> <u>for Restoring and Enhancing Memory Function</u>

https://www.ncbi.nlm.nih.gov/pmc/articles/PMC3141091/

https://www.technologyreview.com/s/513681/memory-implants/



https://www.pbs.org/wgbh/nova/video/nova-wonders-can-we-build-a-brain/

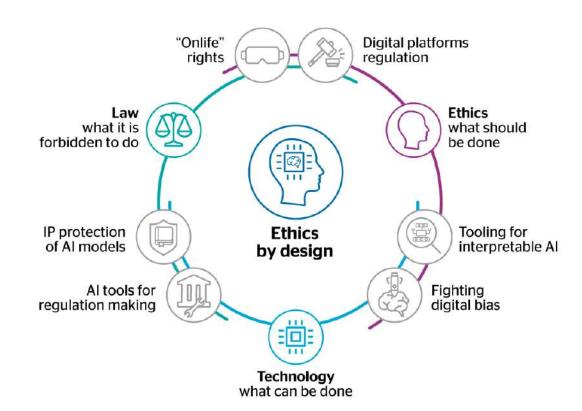
RECURRENT
PATTERN:
Inspiration FROM
NATURE
(INTELLIGENCE)
QUESTION: HOW
MUCH DO WE
KNOW ABOUT
NATURE?



https://otakuwizard.com/important-fields-in-artificial-intelligence-research/

# DO WE WANT INTELLIGENT EVERYTHING?

WHY?
QUESTION OF
VALUES & ETHICS



https://atos.net/content/mini-sites/journey-2022/human-centric-ai/



- Value-sensitive design (VSD) holds that artefacts are value-laden and design can be value-sensitive. The approach refers to the need to identify early implicit values embedded in new technologies by focusing on the usage situations of technology.
- "Value" is defined broadly as property that a person or a group considers important in life, and designers can intentionally inscribe their values in the design objects thus shaping them.
- The design is carried out iteratively by combining the following approaches supporting the values:
- conceptual (conceptions of values for

- users and stakeholders)
- empirical (how values are realized in practice)
- technical (design of technology),
- research all of which is followed by
- assessment

Luciano Floridi, Josh Cowls, Thomas C. King, Mariarosaria Taddeo (2020) How to Design AI for Social Good: Seven Essential Factors. Science and Engineering Ethics. https://doi.org/10.1007/s11948-020-00213-5





#### Ethical values and principles in European discussion

Expert Group/ Publication	Ethical Value/Principle	Context	Technology
Friedman et al. (2003; 2006) [1,2]	Human welfare Ownership and property Freedom from bias Universal usability Courtesy Identity Calmness Accountability (Environmental) sustainability	Value-sensitive design	ICT
Ethically Aligned Design (EAD) IEEE Global initiative (2016, 2017) [3,4]	Human benefit Responsibility Transparency Education and Awareness	Global Initiative for Ethical Considerations in Artificial Intelligence and Autonomous Systems: Insights and recommendations for the AI/AS technologists and for IEEE standards	AI/AS





#### Ethical values and principles in European discussion

Asilomar Al Principles (2017) [5]	Safety Failure and juridical transparency Responsibility Values, alignment Privacy and liberty Shared benefit and prosperity Human control Non-supervision Avoiding arms race	Beneficial AI to guide the development of AI	AI
The European Group on Ethics in Science and New Technologies (EGE) (2017) [6]	Human dignity Autonomy Responsibility, Accountability Security, Safety Justice, Equality and solidarity Democracy Bodily and mental integrity Data protection and privacy Sustainability	Statement on Artificial Intelligence, Robotics and Autonomous Systems	AI, Robotics, AS





#### Ethical values and principles in European discussion

European Commission's High-Level Expert Group on Artificial Intelligence (AI HLEG) (2018) [7]	Respect for human dignity Freedom of the individual Respect for democracy, justice and the rule of law Equality, non-discrimination and solidarity Citizens rightsBeneficence: "Do Good" Non maleficence: "Do no Harm" Autonomy: "Preserve Human Agency" Justice: "Be Fair" Explicability: "Operate transparently"	Trustworthy AI made in Europe	AI
AI4People (2018) [8]	Beneficence Non-maleficence Autonomy Justice Explicability	An ethical framework for a good AI society	Al





## A preliminary set of ethical values for the context of Autonomous Intelligent Systems

Integrity and Human Dignity	Individuals should be respected, and AIS solutions should not violate their dignity as human beings, their rights, freedoms and cultural diversity.  AIS (Autonomous Intelligent Systems) should not threaten a user's physical or mental health.
Autonomy	Individual freedom and choice. Users should have the ability to control, cope with and make personal decisions about how to live on a day-to-day basis, according to one's own rules and preferences.
Human control	Humans should choose how or whether to delegate decisions to AIS, to accomplish human-chosen objectives.
Responsibility	Concerns the role of people and the capability of AIS to answer for the decisions and to identify errors or unexpected results. AIS should be designed so that their affects align with a plurality of fundamental human values and rights.





## A preliminary set of ethical values for the context of Autonomous Intelligent Systems AIS should contribute to global justice and equal access. Services should

Justice, equality, fairness and solidarity	AIS should contribute to global justice and equal access. Services should be accessible to all user groups irrespective any physical or mental deficiencies. This principle of (social) justice goes hand in hand with the principle of beneficence: AIS should benefit and empower as many people as possible.
Transparency	If an AIS causes harm, it should be possible to ascertain why. The mechanisms through which the AIS makes decisions and learns to adapt to its environment should be described, inspected and reproduced. Key decision processes should be transparent and decisions should be the result of democratic debate and public engagement.
Privacy	People should have the right to access, manage and control the data they generate.





## A preliminary set of ethical values for the context of Autonomous Intelligent Systems Als solutions should be sufficiently reliable for the purposes for which the

Reliability	AIS solutions should be sufficiently reliable for the purposes for which they are being used.  Users need to be confident that the collected data is reliable, and that the system does not forward the data to anyone who should not have it.
Safety	Safety is an emerging property of a socio-technical system, which is created daily by decisions and activities. Safety of a system should be verified where applicable and feasible. Need to consider possible liability and insurance implications.
Security	Al should be secure in terms of malicious acts and intentional violations (unauthorized access, illegal transfer, sabotage, terrorism, etc.). Security of a system should be verified where applicable and feasible.
Accountability	Decisions and actions should be explained and justified to users and other stakeholders with whom the system interacts.





## A preliminary set of ethical values for the context of Autonomous Intelligent Systems

Explicability	Also 'explainability'; necessary in building and maintaining citizen's trust (captures the need for accountability and transparency), and the precondition for achieving informed consent from individuals.
Sustainability	The risks of AIS being misused should be minimized: Awareness and education. "Precautionary principle": Scientific uncertainty of risk or danger should not hinder to start actions of protecting the environment or to stop usage of harmful technology.
Role of technology in society	Governance: Society should use AIS in a way that increases the quality of life and does not cause harm to anyone. Depending on what type of theory of justice a society is committed to, it may stress e.g., the principle of social justice (equality and solidarity), or the principle of autonomy (and values of individual freedom and choice).

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- 1. Friedman, B.; Kahn, P.H., Jr. (2003) Human values, ethics, and design. In The Human-Computer Interaction Handbook, Fundamentals, Evolving Technologies and Emerging Applications; Jacko, J.A., Sears, A., Eds.; Lawrence Erlbaum: Mahwah, NJ, USA; pp. 1177–1201.
- 2. Friedman, B.; Kahn, P.H., Jr.; Borning, A. (2006) Value sensitive design and information systems. In Human-Computer Interaction in Management Information Systems: Applications; M.E. Sharpe, Inc.: New York, NY, USA; Volume 6, pp. 348–372.
- 3. IEEE Global Initiative for Ethical Considerations in Artificial Intelligence and Autonomous Systems. Ethically Aligned Design, Version One For Public Discussion (2016) A Vision for Prioritizing Human Wellbeing with Artificial Intelligence and Autonomous Systems <a href="https://standards.ieee.org/content/dam/ieee-standards/standards/web/documents/other/ead-v1.pdf">https://standards.ieee.org/content/dam/ieee-standards/standards/web/documents/other/ead-v1.pdf</a>
- 4. IEEE Global Initiative on Ethics of Autonomous and Intelligent Systems. Ethically Aligned Design, Version 2 for Public Discussion (2017) A Vision for Prioritizing Human Well-Being with Autonomous and Intelligent Systems. Available online: https://standards.ieee.org/content/dam/ieee-standards/standards/web/documents/other/ead\_v2.pdf

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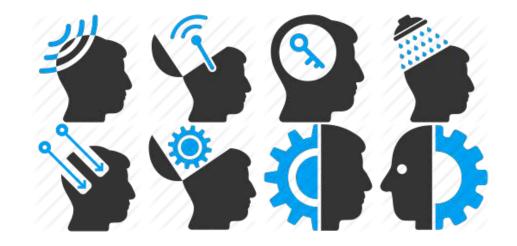
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- 7. European Commission's High-Level Expert Group on Artificial Intelligence. Draft Ethics Guidelines for Trustworthy AI (2019) Available online: <a href="https://ec.europa.eu/digital-single-market/en/news/draft-ethics-quidelines-trustworthy-a">https://ec.europa.eu/digital-single-market/en/news/draft-ethics-quidelines-trustworthy-a</a>
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All links accessed on 18 July 2020



## RESPONSIBLE AI

Based on the lecture:
Responsible Artificial Intelligence, Virginia Dignum,
<a href="https://www.youtube.com/watch?v=BqwVRzKVz30">https://www.youtube.com/watch?v=BqwVRzKVz30</a>



https://www.iconfinder.com/iconsets/brain-service-2







#### **RESPONSIBLE AI: WHY CARE?**

- Al systems are designed to act autonomously in our world (in the future)
- Eventually, AI systems will make better decisions than humans in specific well-defined domains

#### Al is designed, it is an artefact

 We need to be sure that the purpose put into the machine is the purpose which we really want

Norbert Wiener, 1960 (Stuart Russell) King Midas, c540 BCE







#### Ethics in Design

 Ensuring that development <u>processes</u> take into account ethical and societal implications of AI as it integrates and replaces traditional systems and social structures

#### Ethics by Design

 Integration of ethical <u>reasoning</u> abilities as part of the behaviour of artificial autonomous systems

#### Ethics for Design(ers)

 Research integrity of <u>researchers</u> and manufacturers, and certification mechanisms



Principles for Responsible AI = ART

**A**ccountability

<u>R</u>esponsibility

<u>Transparency</u>



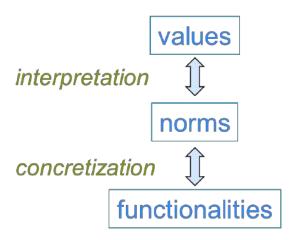
Principles for Responsible AI = ART

#### **A**ccountability

- Explanation and justification
- Design for values

<u>R</u>esponsibility

**T**ransparency



#### Principles for Responsible AI = ART

#### <u>A</u>ccountability

- Explanation and justification
- Design for values

#### <u>R</u>esponsibility

- Autonomy
- Chain of responsible actors
- Human-like Al

Transparency





#### Principles for Responsible AI = ART

#### <u>A</u>ccountability

- Explanation and justification
- Design for values

#### <u>R</u>esponsibility

- Autonomy
- Chain of responsible actors
- Human-like Al

#### **Transparency**

- Data and processes
- Algorithms
- Choices and decisions

#### ETHICS BY DESIGN

- Can Al artefacts be build to be ethical?
- What does that mean?
- What is needed?
- Understanding ethics
- Using ethics
- Being ethical



#### ETHICAL REASONING IS OPEN-ENDED

#### Normative reasoning (Trolley Problem/Moral Machine)

#### Utilitarian/Consequestialist car

Consequentialism in ethics is the view that whether or not an action is good or bad depends solely on what **effects** that action has on the world.

"The greatest amount of good for the greatest amount of people". The only valuable consequence is pleasure, and the only disvaluable consequence is pain. The best for the most; results matter

#### Deontologic/Kantian car

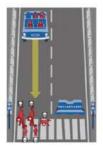
Human-centric, Duty ethics. Good and evil reside in the individual's **intentions** rather than in the **consequences** of the act

#### Aristotelian car

Aristotle's ethics is about how to live the good life (eudaimonia) based on virtues.

Act as a virtuous person. For Aristotle, there are three primary moral virtues: courage, temperance, and justice.

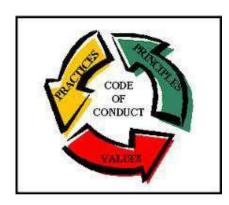






## ETHICS <u>FOR</u> DESIGN(ERS) – REGULATION, CODES OF CONDUCT

- A code of conduct clarifies mission, values and principles, linking them with standards and regulations
  - o Compliance
  - Risk mitigation
  - Marketing
- Many professional groups have regulations
  - Medicine / Pharmacy
  - Accountants
  - Architects
  - Military
- Regulation, accreditation: when society relies on a profession!

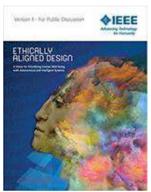




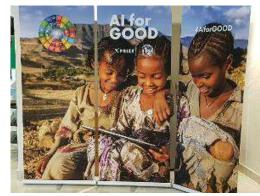
- Harness the positive potential outcomes of AI in society, the economy
- Ensure inclusion, diversity, universal benefits
- Prioritize UN2020 Sustainable Development Goals
- The objective of the AI system is to maximize the realization of human values



https://ec.europa.eu/digital-single-market/en/high-level-expert-group-artificial-intelligence



https://ethicsinaction.ieee.org/



https://ai4good.org/ https://en.wikipedia.org/wiki/Al\_for\_Good https://www.microsoft.com/en-us/ai/ai-for-good



http://www.ai4people.eu

#### TAKE AWAY MESSAGE ON RESPONSIBLE AI

- (Currently) Al systems are artefacts built by us for our own purposes
  - o Our decision, our responsibility
- Al influences and is influenced by our social systems
  - o Design in never value-neutral
  - Society shapes and is shaped by design
- Knowing ethics is not being ethical
  - Not for us and not for machines
  - Different ethics different decisions (Stakeholders agreement needed)
- Artificial Intelligence needs ART
  - Accountability, Responsibility, Transparency
  - o (Stakeholders must) Be explicit!





Based on: Responsible Artificial Intelligence, Virginia Dignum, <a href="https://www.youtube.com/watch?v=BqwVRzKVz30">https://www.youtube.com/watch?v=BqwVRzKVz30</a>
& Dignum, Virginia (2019) Responsible Artificial Intelligence How to Develop and Use AI in a Responsible Way <a href="https://www.springer.com/gp/book/9783030303709#aboutBook">https://www.springer.com/gp/book/9783030303709#aboutBook</a>







#### Time perspective

- Short-term perspective (We decide)
- Middle-term perspective (AGI – We co-decide)
- Long-term perspective (Superintelligence? Who decides?)

#### Stakeholders roles

- Politicians
- Legislators
- Business
- Developers, Designers
- Programmers
- Deployment, test
- Maintenance
- Learning from experience
- Feedback to development & design

#### PROFESSIONAL ETHICISTS ON AI ETHICS

Vincent C. Müller (2020), 'Ethics of artificial intelligence and robotics', in Edward N. Zalta (ed.), Stanford Encyclopedia of Philosophy (Palo Alto: CSLI, Stanford University). <a href="mailto:tiny.cc/1tnvez">tiny.cc/1tnvez</a>

#### **Judith Simon**

https://www.youtube.com/watch?v=cvLltFoJme0 Judith Simon - Big data & machine learning https://www.youtube.com/watch?v=mNhurilZLcl Judith Simon - DataBust: Dissecting Big Data Practices and Imaginaries

Mark Coeckelbergh (2020) Al Ethics. MIT Press Essential Knowledge

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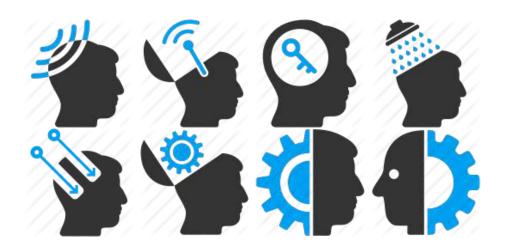
#### **Nick Bostrom**

https://intelligence.org/files/EthicsofAl.pdf The Ethics of Artificial Intelligence

**Virginia Dignum** (2019) Responsible Artificial Intelligence How to Develop and Use AI in a Responsible Way https://www.springer.com/gp/book/9783030303709#aboutBook



## SUMMARY ETHICS OF AI



https://www.iconfinder.com/iconsets/brain-service-2



#### SOME OF ETHICAL ISSUES OF AI, HIGHLIGHTS

- REGULATION codes of ethics & laws
- RESPONSIBILITY
- TRANSPARENCY
- PRIVACY & INTEGRITY. GDPR <a href="https://www.gdprexplained.eu">https://www.gdprexplained.eu</a> General Data Protection Regulation
   data protection by design
- BIAS/FAIRNESS in machine classification systems (algorithmic bias) & decision-making
- Al-guided weapon Systems Lethal Autonomous Weapons security & responsibility
- Agency and moral status of Al
- Future of work & end of employment job replacement and redistribution
- Human dependency on technology and loss of skills
- Value-misalignment
- Unintended consequences of goals and decisions



## EXAMPLE: UNIFIED FRAMEWORK OF PRINCIPLES FOR AI IN SOCIETY (AI4PEOPLE)

Non-maleficence: privacy, security and "capability caution"

Beneficence: promoting well-being, preserving dignity, and sustaining the planet

Autonomy: the power to decide (whether to decide)

Justice/Fairness: promoting prosperity and preserving solidarity

Explicability: enabling the other principles through intelligibility and accountability

https://www.eismd.eu/ai4people-ethical-framework/





https://aiforgood.itu.int/ AI for good, International Telecommunication Union (ITU)





"As the UN specialized agency for information and communication technologies, ITU is well placed to guide Al innovation towards the achievement of the UN Sustainable Development Goals. We are providing a neutral platform for international dialogue aimed at building a common understanding of the capabilities of emerging Al technologies."

- Houlin Zhao, Secretary General of ITU



https://deepmind.com/about/ethics-and-society GOOGLE DEEP MIND Ethics & SOCIETY

https://framtidsprao.trr.se/documents/Framtidens arbetsliv rapport WEB.pdf

https://www.youtube.com/watch?v=RXCqKwMHpb0 Ethics of AI @ NYU: Opening & General Issues (1:23:30 - Yann LeCun "Should We Fear Future Al Systems?")

https://www.youtube.com/watch?v=1oeoosMrJz4 AI ethics and AI risk - Ten challenges

https://futureoflife.org/ai-principles/ Asilomar Principles

https://www.microsoft.com/en-us/ai/ai-for-good Al for Earth, AccessibilityHumanitarian Action, Cultural Heritage

https://www.partnershiponai.org PARTNERSHIP ON AI to benefit humanity Started by Microsoft, Amazon, Google, Facebook, IBM, and Google-owned DeepMind. 2019: 90+ partners, >50% non-profit, 13 countries

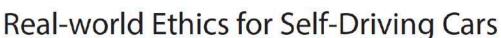




Privacy

& Personal

Integrity



Security







Tobias Holstein<sup>1</sup>, Gordana Dodig-Crnkovic<sup>1,2</sup>, Patrizio Pelliccione<sup>2,3</sup>
<sup>1</sup>Mälardalen University, Västerås, Sweden,
<sup>2</sup>Chalmers University of Technology | University of Gothenburg, Gothenburg, Sweden,

Ethical and social aspects of the emerging technology of self-driving cars can best be addressed through an applied engineering ethical approach. However, those issues are typically being presented in terms of an idealized unsolvable decision-making problem, the so-called Trolley Problem, that asks how to prioritize killing people in the case of collision.

Instead, we propose that ethical analysis should focus on the study of ethics of complex real-world engineering focused on how not to kill anybody. As software plays a crucial role in the control of self-driving cars, software engineering solutions should handle actual ethical and social considerations.

Safety

We present practical social and ethical challenges that must be met in the ecology of the socio-technological system of self-driving cars which implies novel expectations for software engineering in the automotive industry.

<sup>3</sup>University of L'Aquila, L'Aquila, Italy

Responsibility

Reliability

Environmental Sustainability Non-maleficence

Accountability Dignity

Trust

it's not about whom to kill, but how not to kill!

Transparency

Algorithmic

Fairness

For the re

For the research, development and engineering of emerging AV technology we have to address real-world challenges and move away from popular artificially constructed Trolley Problem thought experiments.

We point out the importance of the ecology of the entire socio-technological system, where ethicality is ensured through education, continuous information about the existing technology performance, and negotiation of priorities in the value systems, as well as constant learning process within technology and its social environment. In this iterative process, values and ethics come first, followed by standardisation and legislation that is monitored, updated and validated in practice.

We argue that real-life ethics plays the central role as a basis sustaining and informing ethically sound emerging technology of self-driving cars and thus the future of transportation.

Further References:

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). Steps Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem. In Steven John Thompson (Ed.), Handbook of Research on Machine Ethics and Morality. [Gl Global.

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2018). Ethical and Social Aspects of Self-Driving Cars. ArXiv, abs/1802.04103.

Holstein, T. (2017). The Misconception of Ethical Dilemmas in Self- Driving Cars. Proceedings of the IS4SI 2017 Summit DIGITALISATION FOR A SUSTAIN-ABLE SOCIETY, Gothenburg, Sweden, 1(3), 2–4. https://doi.org/10.3390/IS4SI-2017-04026

Find more information at

https://ethics.se



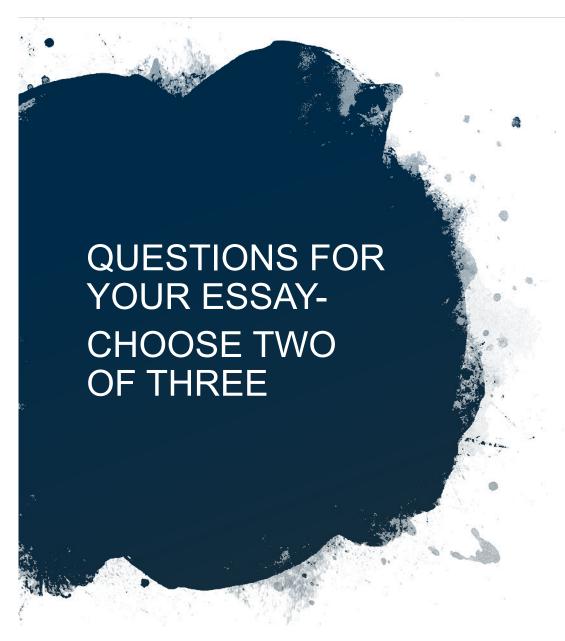
Presented as poster at ICSE2020 Extended version to appear as a chapter in the Handbook of Research on Machine Ethics and Morality I IGI Global 2021

Self-driving cars development through the iterative process involving technology R&D, society, laws, and manufacturing.

Society

Laws / Regulations

Manufacturers



- 1. What are the most important ethical concerns of Al technology? Why are they important?
- 2. Compare Google and Microsoft AI principles: <a href="https://www.blog.google/technology/ai/ai-principles">https://www.blog.google/technology/ai/ai-principles</a> (Google) <a href="https://www.microsoft.com/en-us/ai/our-approach-to-ai">https://www.microsoft.com/en-us/ai/our-approach-to-ai</a> (MS)

with the HLEG ETHICS GUIDELINES FOR TRUSTWORTHY AI:

https://ec.europa.eu/digital-single-market/en/news/ethics-quidelines-trustworthy-ai Ethics quidelines for trustworthy AI

3. Explain the role of GDPR for the AI technology, as "privacy by design". See <a href="https://www.gdprexplained.eu">https://www.gdprexplained.eu</a> General Data Protection Regulation

<sup>\*</sup>More information can be found under: https://www.forbes.com/sites/deborahtodd/2019/06/24/microsoft-reconsidering-ai-ethics-review-plan/#1e6a320a7c89





