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# New Take on Robots Ethical by Design

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<https://techdissected.com/editorials-and-discussions/advantages-of-having-a-humanoid-robot>



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## Robots: ethical by design

Gordana Dodig Crnkovic · Baran Çürüklü

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**Abstract** Among ethicists and engineers within robotics there is an ongoing discussion as to whether ethical robots are possible or even desirable. We answer both of these questions in the positive, based on an extensive literature study of existing arguments. Our contribution consists in bringing together and reinterpreting pieces of information from a variety of sources. One of the conclusions drawn is that artifactual morality must come in degrees and depend on the level of agency, autonomy and intelligence of the machine. Moral concerns for agents such as intelligent search machines are relatively simple, while highly intelligent and autonomous artifacts with significant impact and complex modes of agency must be equipped with more advanced ethical capabilities. Systems like cognitive robots are being developed that are expected to become part of our everyday lives in future decades. Thus, it is necessary to ensure that their behaviour is adequate. In an analogy with artificial intelligence, which is the ability of a machine to perform activities that would require intelligence in humans, artificial morality is considered to be the ability of a machine to perform activities that would require morality in humans. The capacity for artificial (artifactual) morality, such as artifactual agency, artifactual responsibility, artificial intentions, artificial (synthetic) emotions, etc., come in varying degrees and depend on the type of agent. As an

illustration, we address the assurance of safety in modern High Reliability Organizations through responsibility distribution. In the same way that the concept of *agency* is generalized in the case of *artificial agents*, the concept of *moral agency*, including *responsibility*, is generalized too. We propose to look at artificial moral agents as having functional responsibilities within a network of distributed responsibilities in a socio-technological system. This does not take away the responsibilities of the other stakeholders in the system, but facilitates an understanding and regulation of such networks. It should be pointed out that the process of development must assume an evolutionary form with a number of iterations because the emergent properties of artifacts must be tested in real world situations with agents of increasing intelligence and moral competence. We see this paper as a contribution to the macro-level Requirement Engineering through discussion and analysis of general requirements for design of ethical robots.

**Keywords** Artificial morality · Machine ethics · Machine morality · Roboethics · Autonomous agents · Artifactual responsibility · Functional responsibility

### Introduction

Robots as intelligent agents are one of the most promising future emerging technologies (Gates 2007; Warwick 2009). The more intelligent they become the more useful and effective they are. However, historical experience shows that highly intelligent agents without ethical qualities may easily turn out to be unscrupulous and destructive. The purpose of this article is to show why and how ethics should enter the field of intelligent robots/softbots and contribute to the promotion of the idea that *intelligence*

The article  
we revisited

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# In the meantime: Research in real-world ethics for specific robots: self-driving cars

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). Steps Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem. In Steven John Thompson (Ed.), Handbook of Research on Machine Ethics and Morality. IGI Global.

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2020). Real-world Ethics for Self-Driving Cars. In Proceedings of the 42nd International Conference on Software Engineering (ICSE '20) Poster Track.

Holstein, T., and Dodig-Crnkovic, G. (2018). Avoiding the Intrinsic Unfairness of the Trolley Problem. In Proceedings of the International Workshop on Software Fairness (FairWare '18). Association for Computing Machinery, New York, NY, USA, 32–37.

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2018). Ethical and Social Aspects of Self-Driving Cars. ArXiv, abs/1802.04103.



## Steps Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem

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Holstein, T., Dodig Crnkovic, G., Pelliccione, P. (2021). Steps Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem. Machine Law, Ethics, and Morality in the Age of Artificial Intelligence (Steven John Thompson (Ed.)) : 85-107.  
<http://dx.doi.org/10.4018/978-1-7998-4894-3.ch006>

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# Based on experiences from autonomous cars studies

G. Dodig-Crnkovic, T. Holstein, P. Pelliccione and, Jathoosh Thavarasa (2023) "Future Intelligent Autonomous Robots, Ethical by Design. Lessons Learned from Autonomous Cars Ethics." Proc. ICSIT 2023 conference. ISSN: 2771-6368 (Print) ISBN: 978-1-950492-70-1 (Print) DOI: 10.54808/ICSIT2023.01 <https://www.iis.org/CDs2023/CD2023Spring//>

## Future Intelligent Autonomous Robots, Ethical by Design. Lessons Learned from Autonomous Cars Ethics

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### ABSTRACT

The "ethical by design" approach involves ensuring all stages of a lifecycle of technology to ensure that they are ethically justifiable and socially sustainable. Building on our work on the ethics of autonomous intelligent robots, and studies of the literature on the ethics of robotics, we propose for robot applications a set of values and ethical principles including safety, security, privacy, transparency, and explainability, accountability, fairness, human control, well-being, autonomy and freedom, and non-maleficence. This may help stakeholders in the field of intelligent autonomous robotics to connect ethical principles with their applications. Most ethical considerations we identified in our work on autonomous cars are relevant to all AI-powered robots, but robots require additional considerations depending on their application domains, such as social robots (care robots, personal companions, robots used in education, health care, elderly care, education, entertainment, chatbots), industrial robots, exosuits. Thus, existing ethical frameworks need to be applied to a context-sensitive way, be assessments as interdisciplinary, multi-component teams through multi-stakeholder analysis. Furthermore, we argue for the need for continuous development of ethical principles, guidelines, and regulations, informed by the progress of technologies and involving relevant stakeholders. This implies designing the socio-technical systems as an intelligent learning ecology.

**Keywords:** Ethics, Artificial Intelligence, Autonomous Robots, Intelligent Robots, Robotics, Autonomous cars, Emerging Technologies, ILSA.

### 1. INTRODUCTION

This article builds on the findings of our book chapter [1] on ethical and social aspects of self-driving cars, which are robots

classified as "mobile service robots" [2]. These are vehicles capable of perceiving their environment and driving without or with little human intervention. They combine advanced sensing, controlling, and artificial intelligence, with autonomous safety-critical decision-making. Ethical aspects of autonomous cars (also called self-driving cars, autonomous vehicles, driverless cars, automated cars, or robo-cars) have lately generated attention from the general public, education, researchers, industry, and decision-makers [3].

This studies on autonomous cars ethics led us to the insight that the same approach may be applied to intelligent autonomous robots in general, facing in mind that autonomous cars are a special type of intelligent autonomous robots. The research question was how ready-or-not recommendations for the ethical analysis of autonomous cars can apply to the ethical analysis of other types of robots that present an important emerging technology?

"As a game-changing technology, robotics naturally will create ripple effects through society", according to Liu, Abney, and Bakay [4]. The impact of robotics technology on society is significant and far-reaching, potentially leading to major changes in everyday life, business, and culture. Therefore, it is crucial to examine the ethics, law, and policy within ILSA (Ethical, Legal, and Social Aspects) studies, through the fields known as robot ethics, robotomics, and ethics of robotics, which are closely related to AI ethics, machine ethics, technology ethics, and ethical technology.

Paul Asaro posed the fundamental question: "What should we want from a robot ethics?" [5]. His answer is that we need to develop robots that progressively acquire stronger ethical abilities. The primary focus of robot ethics should be avoiding the harm caused by robots. The assignment of responsibility in complex socio-technical systems should be governed by legal theory [6].

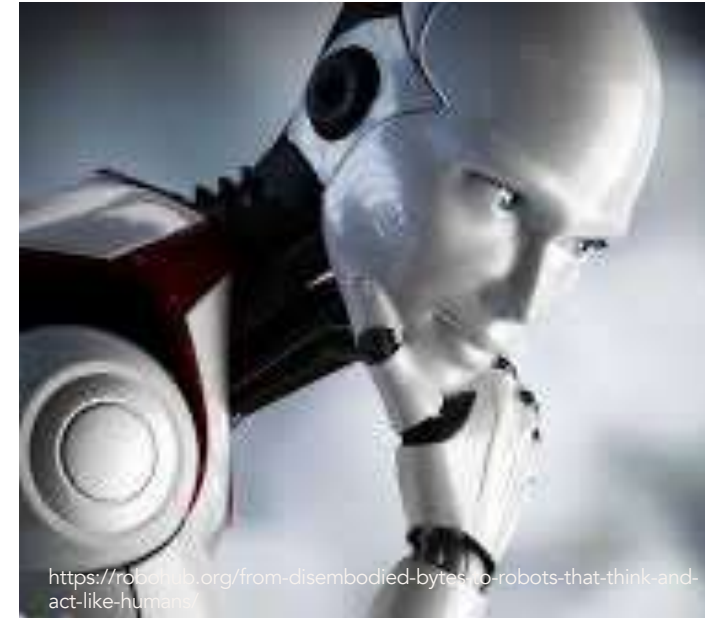
# How can we trust intelligent robots?



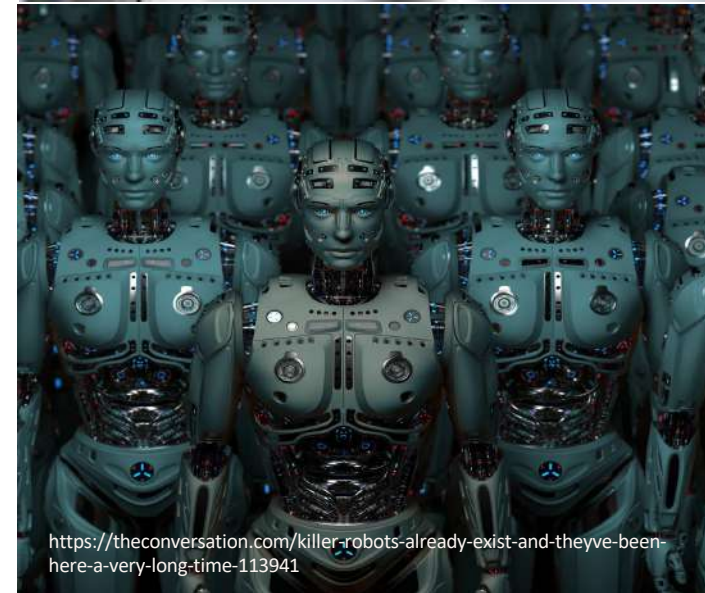
Trusting the intelligent autonomous robot technology with our future presupposes their anticipated beneficial influence on the societies and individuals, globally. Question of good and bad, right and wrong, and values, in general, are studied within the field of ethics. The emerging fields of Artificial Intelligence (AI) ethics and specifically ethics of intelligent autonomous robotic cars are good examples of ethics research with actionable practical value.

In those ethical fields, a variety of stakeholders, including the legal system with other societal and governmental actors, companies and businesses, collaborate bringing about shared view of ethics.

Drawing from the existing literature on ethics of AI and robotics, and our work on autonomous intelligent robocars, our contribution consists in lessons learned for ethics of autonomous intelligent robots in general, that can help us overview the field with the common set of values and ethical principles, which may help stakeholders in the broader field of intelligent autonomous robotics to connect ethical principles with their applications.



<https://robohub.org/from-disembodied-bytes-to-robots-that-think-and-act-like-humans/>



<https://theconversation.com/killer-robots-already-exist-and-theyve-been-here-a-very-long-time-113941>

## The Perspective

The aim of this lecture is to offer new views of the Ethics of Robotics as the topic of Design Ethics. AI ethics and even Robotic ethics are huge. This lecture wants to open the window with a view, giving you a glimpse of a huge unexplored territory in front of us.

“I invite readers not on a visit to an archaeological museum, but rather on an adventure in science in making”

Ilya Prigogine. *The End of Certainty: Time, Chaos and New Laws of Nature*, 1997



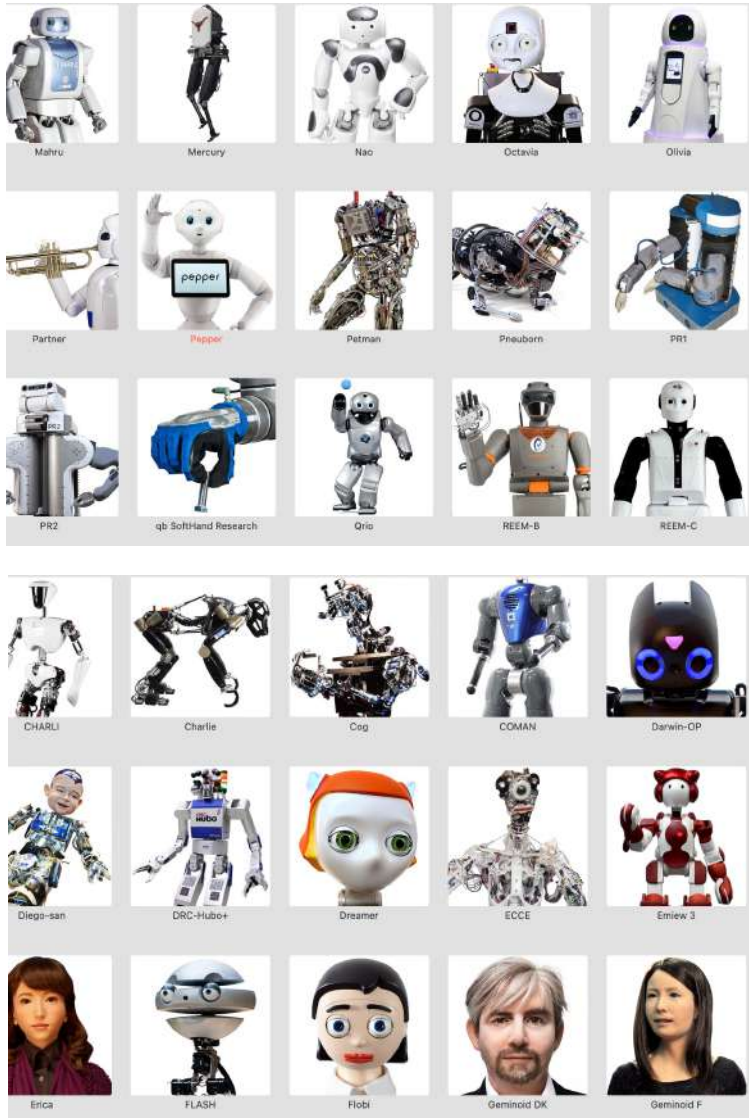
[https://www.onventanas.com/historia-vidrio/ventana-japonesa/#iLightbox\[postimages\]/0](https://www.onventanas.com/historia-vidrio/ventana-japonesa/#iLightbox[postimages]/0)

Humanoid robots  
Education robots  
Consumer robots  
Research robots  
Medical robots  
Nano robots  
Disaster response robots  
Industrial robots  
Aerospace robots  
Underwater robots  
Aerospace robots  
Military and Security robots  
Telepresence robots  
Drones  
Autonomous cars

# ROBOTS



<https://robots.ieee.org/>



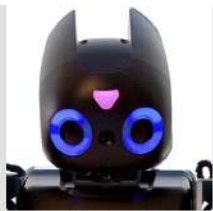
# Humanoid Robots

<https://robots.ieee.org/>





Cubelets



Darwin-OP



Dash and Dot



EMYS



Flipperbot



Kamigami



Kiwi



KOOV



Aibo



Aibo (1999)



Anafi



Anki Drive



BotVac



Braava



Braava Jet



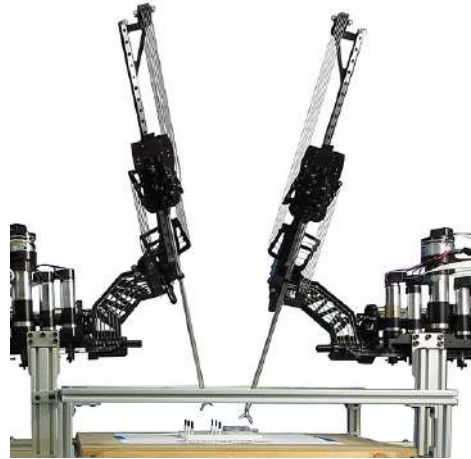
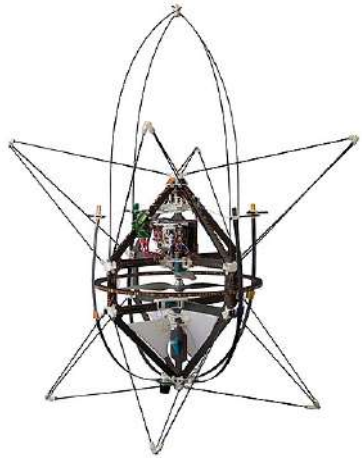
Care-O-bot 4



Cub

# Education & Consumer Robots

<https://robots.ieee.org/>



# Research Robots

<https://robots.ieee.org/>



# Medical Robots

<https://robots.ieee.org/>



# Nano Robots

<https://www.europeanpharmaceuticalreview.com/news/>



# Disaster response

<https://robots.ieee.org/>



ACM-R5H



ANYmal



Atlas (2013)



Chaos



Colossus



DRC-Hubo+



Elios



Guardian S



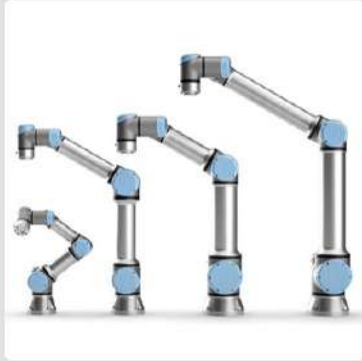
Kobra



LAURON V



Unimate



UR



Versatrax



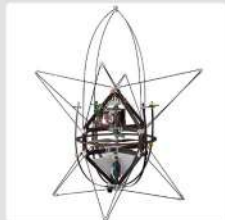
WAM



YuMi

# Industrial Robots

<https://robots.ieee.org/>



AirBurr



Curiosity



EASE



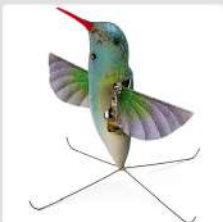
eBee



Explorer Snake-arm Robot



Global Hawk



Nano Hummingbird



Perseverance



Raven



RoboBee



Robonaut 2



SmartBird



Spirit & Opportunity



Valkyrie

# Aerospace Robots

<https://robots.ieee.org/>



ACM-R5H



Aqua2



Aquanaut



Wave Glider

# Underwater Robots

<https://robots.ieee.org/>



# Telepresence Robots

<https://robots.ieee.org/>



Ava



Beam



Cobalt



Double



QB



Shadow Hand



Stretch



Telegarden

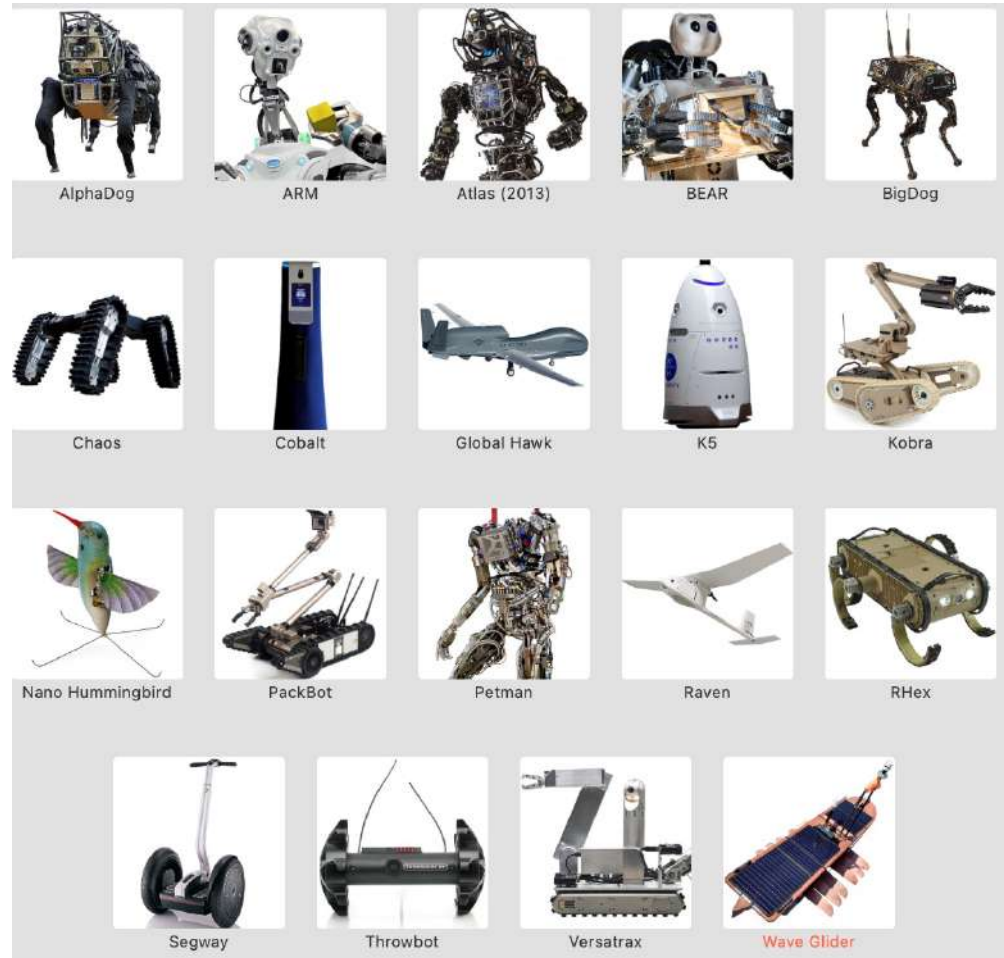


Temi



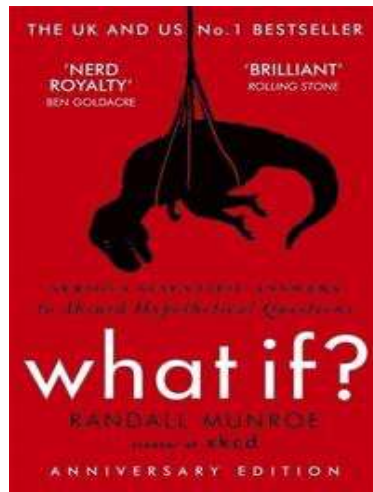
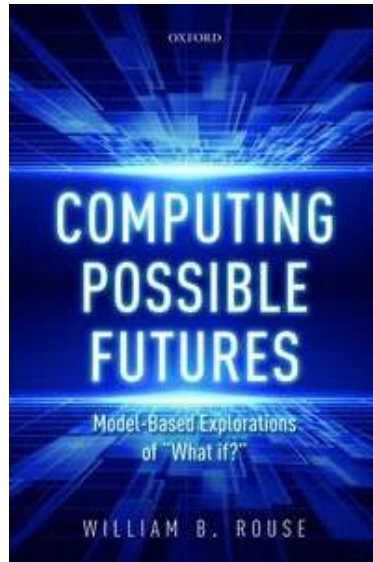
VGo





# Military and Security Robots

<https://robots.ieee.org/>



# WE ARE DISCUSSING POSSIBLE FUTURES

THROUGH SOCIALLY DISRUPTIVE  
TECHNOLOGIES



## VALUE-BASED HUMAN-CENTRIC DESIGN

TUANA. COMMUNICATIONS OF THE ACM | DECEMBER 2015 | VOL. 58 | NO. 12

# Values

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Values serve as a guide to action and knowledge.

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They are relevant to all aspects of scientific and engineering practice, including discovery, analysis, and application.



# A Value-Based Design Approach



Sarah Spiekermann  
Ethical IT Innovation:  
A Value-Based System Design  
Approach

Ethics Commission: Automated and  
connected driving (Report by  
Federal Ministry of Transport and  
Digital Infrastructure of Germany  
[BMVI])

BMVI = Bundesministerium für  
Verkehr und digitale Infrastruktur

<https://ethicsinaction.ieee.org/>

# DESIGN FOR POSSIBLE FUTURES - SPECULATIVE DESIGN

Speculative design combines informed, hypothetical extrapolations of an emerging technology's development with a deep consideration of the cultural landscape into which it might be deployed, to speculate on future products, systems and services. These speculations are then used to examine and encourage dialogue on the impact a specific technology may have on our everyday lives. The familiar and engaging nature of the designed output is intended to facilitate discourse with a broad audience: from experts in the field such as scientists, engineers and designers to the consumers and users of technological products and systems.

Auger Loizeau

<https://elviavasconcelosblog.wordpress.com/2017/01/15/what-is-speculative-critical-fiction-design-part-1/>

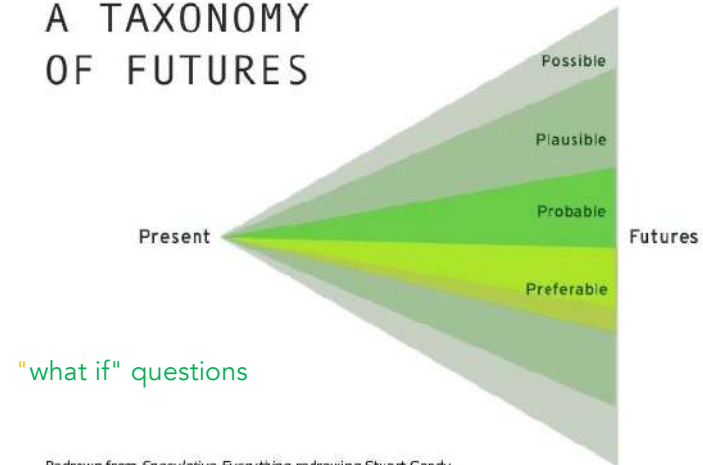
# SPECULATIVE EVERYTHING

Based on design thinking not SF

(Different methods and goals)!



## A TAXONOMY OF FUTURES



Redrawn from *Speculative Everything* redrawing Stuart Candy

### Table of Contents:

- Beyond radical design?
- A map of unreality
- Design as critique
- Consuming monsters: big, perfect, infectious
- A methodological playground: fictional worlds and thought experiments
- Physical fictions: invitations to make believe
- Aesthetics of unreality
- Between reality and the impossible
- Speculative everything.

# SPECULATIVE DESIGN CREATES SPACE TO...

Arrange emerging (not yet available) technological 'elements' to hypothesise future, products and artefacts

Apply alternative plans, motivations, or ideologies to those currently driving technological development, in order to facilitate new arrangements of existing elements

Develop new perspectives on big systems



# SPECULATIVE DESIGN FACILITATES...

Asking 'What is a better future (with respect to present)?'

Generating a better understanding of the potential implications of a specific (disruptive) technology in various contexts and on multiple scales – with a particular focus on everyday life.

Moving design 'upstream' – to not simply package technology at the end of the technological journey but to impact and influence that journey from its genesis.

# SPECULATIVE DESIGN ASKS...

What would life be like if we had such technologies?

It can act as a cultural and behavioural litmus test, trying out applications before they happen and allowing for adjustments to be made.

Its agenda is to facilitate a more democratic and considered approach to technological development.

# ADDRESSING CHALLENGES AND OPPORTUNITIES OF THE FUTURE

We use Speculative Design to describe work that uses design (products, services, scenarios) to address challenges and opportunities of the future.

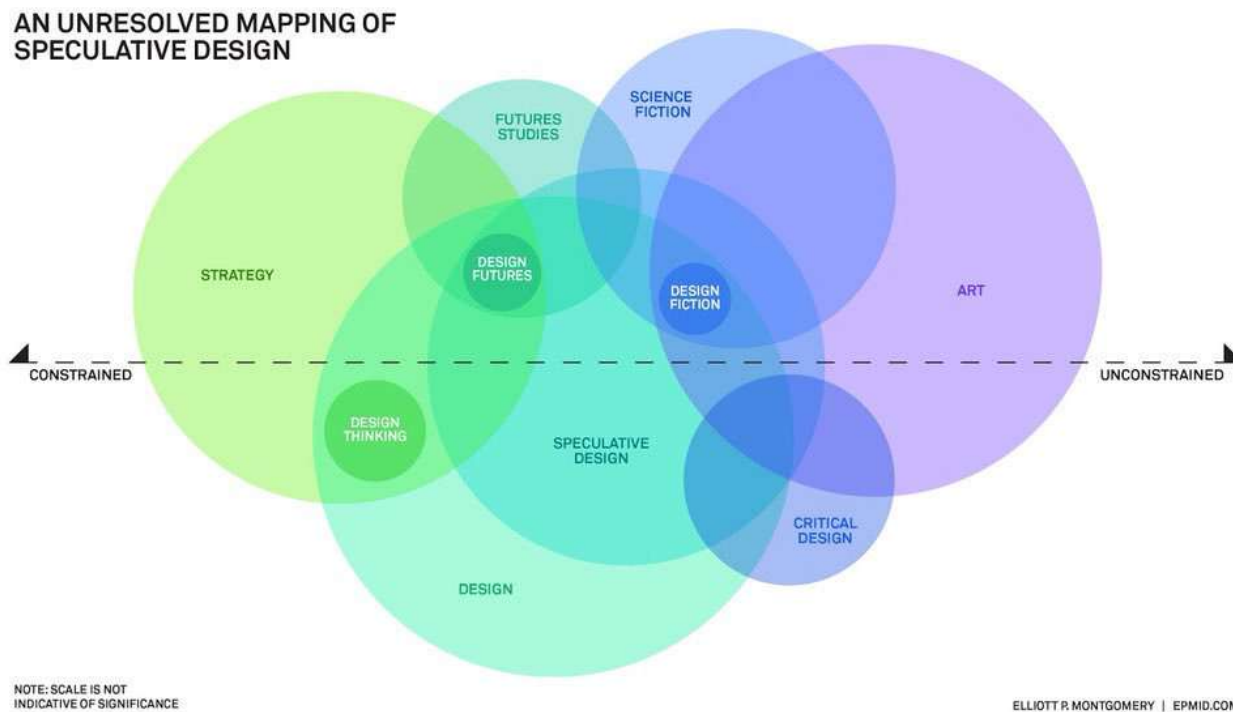
We tend to look 5-10+ years forward and speculate on how things could be and what future we want or don't want based on these scenarios.

# CRITICAL DESIGN

“Let’s call it critical design, that questions the cultural, social and ethical implications of emerging technologies. A form of design that can help us to define the most desirable futures and avoid the least desirable.”

Anthony Dunne & Fiona Raby

# SPECULATIVE DESIGN AND ITS CONTEXT



<https://speculativeedu.eu/new-reflections-on-speculativity/>

# Design Thinking and Wicked Problems

## Design Unbound. Designing for Emergence in a White Water World

Ann Pendleton-Jullian and John Seely Brown, two volume set, MIT Press 2018

<https://mitpress.mit.edu/books/design-unbound-designing-emergence-white-water-world-volume-1>

Richard Buchanan (1992) Wicked Problems in Design Thinking. Design Issues, Vol. 8, No. 2, pp. 5-21. The MIT Press  
<http://www.jstor.org/stable/1511637>





EXPERIENCES FROM  
Autonomous Cars  
-a special case of intelligent  
autonomous robot

Book chapter:  
"Steps Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem".  
Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). In Steven John Thompson  
(Ed.), Machine Law, Ethics, and Morality in the Age of Artificial Intelligence. IGI  
Global

# Safety

## Challenges

- Hardware and software adequacy
- Vulnerabilities of machine-learning algorithms
- Control of trade-offs between safety and other factors (like economic) in the design, manufacturing and operation
- Possibility of intervention in case of major failure of the system and graceful degradation
- Systemic solutions to guarantee safety in organizations (regulations, authorities, safety culture)

## Approaches

- Setting safety as the first priority
- Learning from the history of automation
- Learning from experience of current use
- Specification of how a system will behave in cases when autonomous operation is disabled (safe mode)
- Preparedness for handling “loss of control” situations- autonomous systems running amok
- Regulations, guidelines, standards being developed as the technology develops



# Security

## Challenges

- Minimal necessary security requirements for deployment of the system
- Security in the context and connections
- Deployment of software updates
- Storing and using received and generated data in a secure way

## Approaches

- Technical solutions to guarantee minimum security under all foreseeable circumstances
- Anticipation and prevention of the worst-case scenarios
- Accessibility of data, even in the case of accidents, learning from experience

# Non- maleficence

## Challenges

- Risk of technology causing harm, physical, cognitive, psychological, social, etc.
- Disruptive changes in the labor market
- Transformation of related businesses, markets, and business models (manufacturers, insurance, etc.)
- Loss of human skills
- Loss of autonomy

## Approaches

- Partly covered by technical solutions, but interdisciplinary approaches are needed
- Preparation of strategic solutions for people losing jobs
- Learning from historic parallels to industrialization and automatization

# Responsibility and Accountability

## Challenges

- Assignment and distribution of responsibility and accountability as some of central regulative mechanisms for the development of new technology

## Approaches

- The Accountability, Responsibility, and Transparency (ART) principle (Virginia Dignum) based on a Design for Values approach that includes human values and ethical principles in the design processes

Stakeholders  
Interests

Loss of jobs (for people in  
elderly/health care sector)

Humans in the loop

Impact on Society

# Stakeholders Interests

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Freedom of choice

Will the robot do, what I want it to do?

Implementation of restrictions

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To what extent will the user be in control?

What will be the role of AI?

What about GPT-level intelligence?

Believable conversational level and related consequences

# Social Trust

## Challenges

- Establishing trust between humans and robots as well as within the social system involving robots

## Approaches

- Further research on how to implement trust across multiple systems
- Provision of trusted connections between components as well as external services

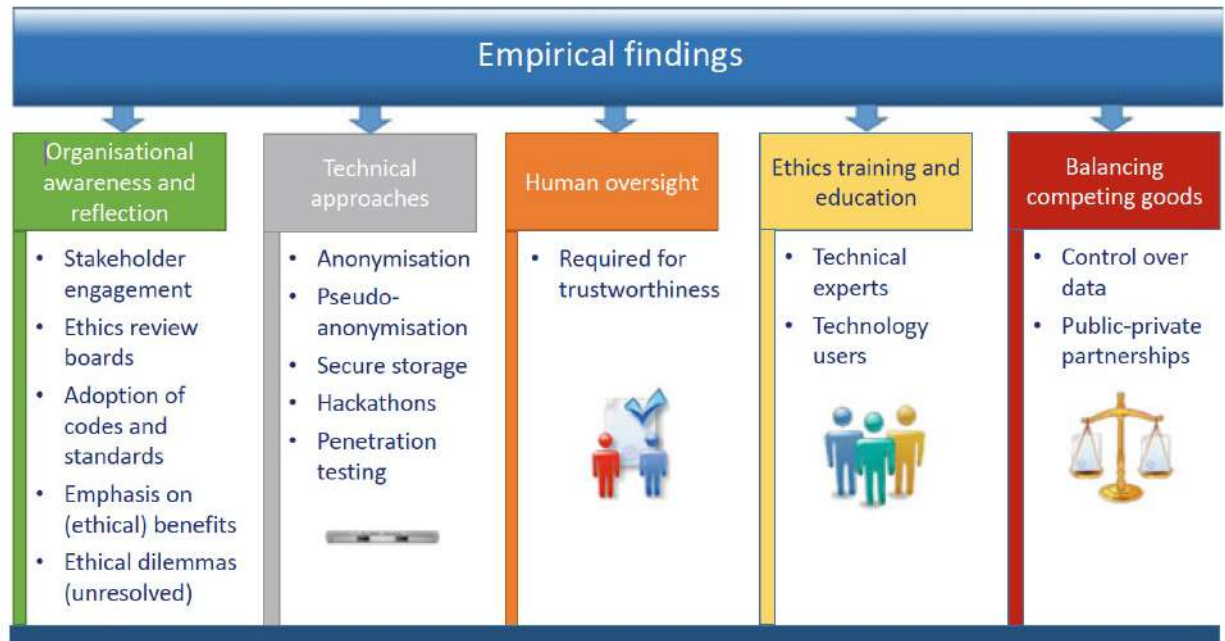


INTELLIGENCE OF  
EMERGENT  
TECHNOLOGIES  
MAKES A DIFFERENCE  
NARROW AI

(STILL FAR FROM HUMAN LEVEL, GENERAL AI)

# Addressing Organisational Ethical Issues of AI

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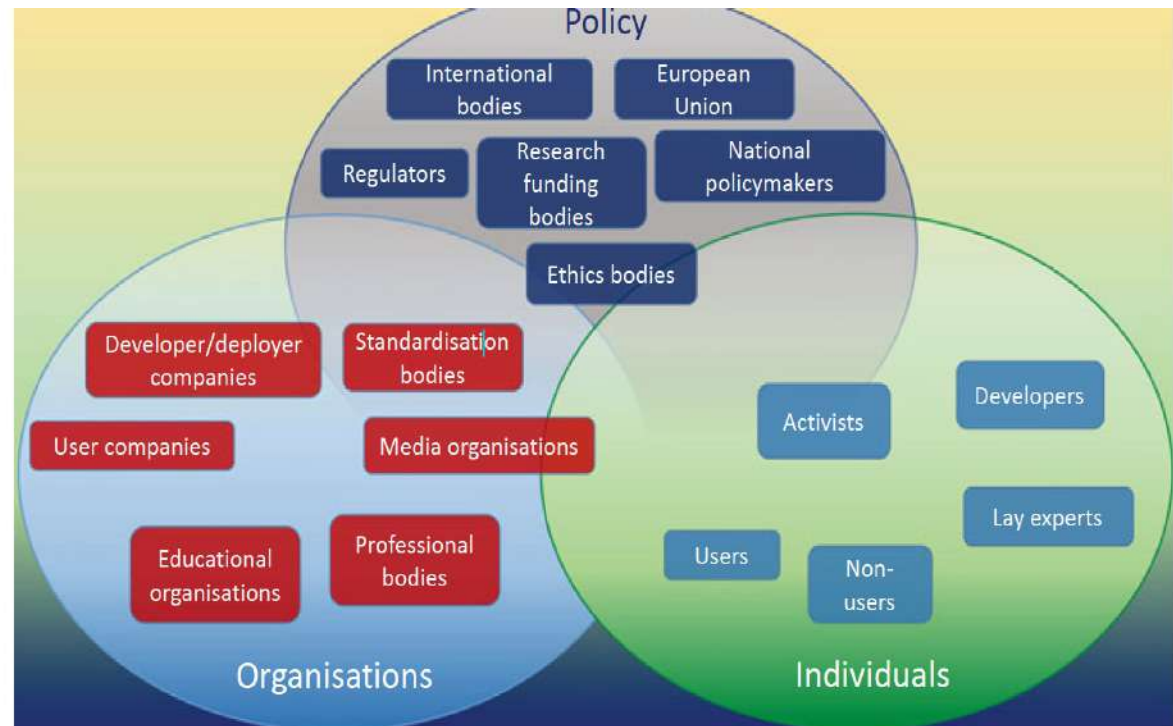


**Fig. 5.2** How case study organisations address ethical issues of AI: empirical findings

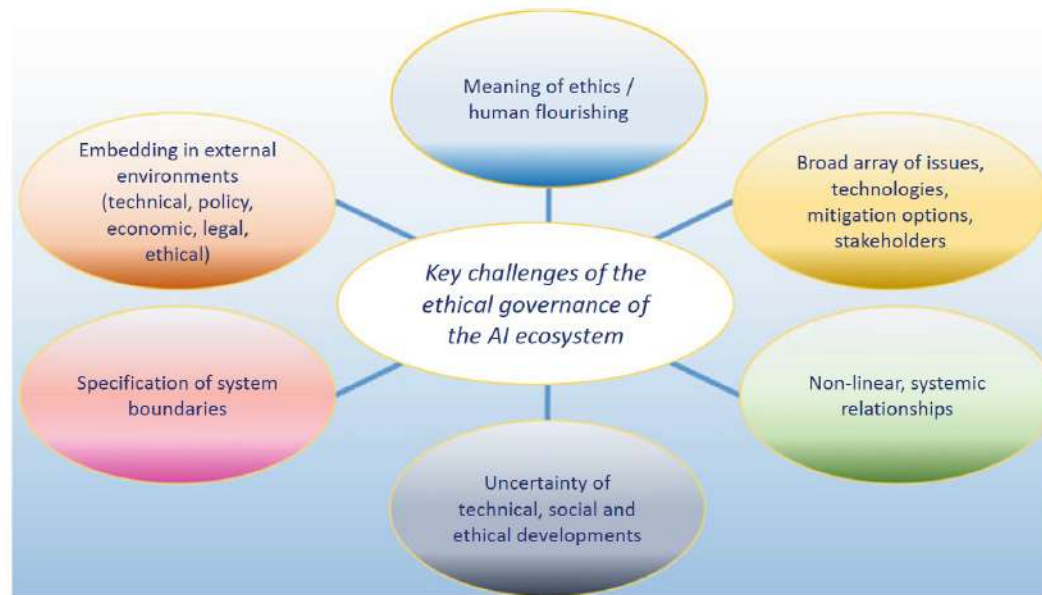


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## Overview of AI stakeholders, Artificial Intelligence for a Better Future



# KEY CHALLENGES OF ETHICAL GOVERNANCE OF AI SYSTEMS



**Fig. 7.1** Key challenges of ethical governance of AI ecosystems

# Ethical Issues of AI

<https://www.youtube.com/watch?v=uZMs9lePwMQ> What if ChatGPT had a robotic body?

Table 4.1 Three categories of ethical issues of artificial intelligence

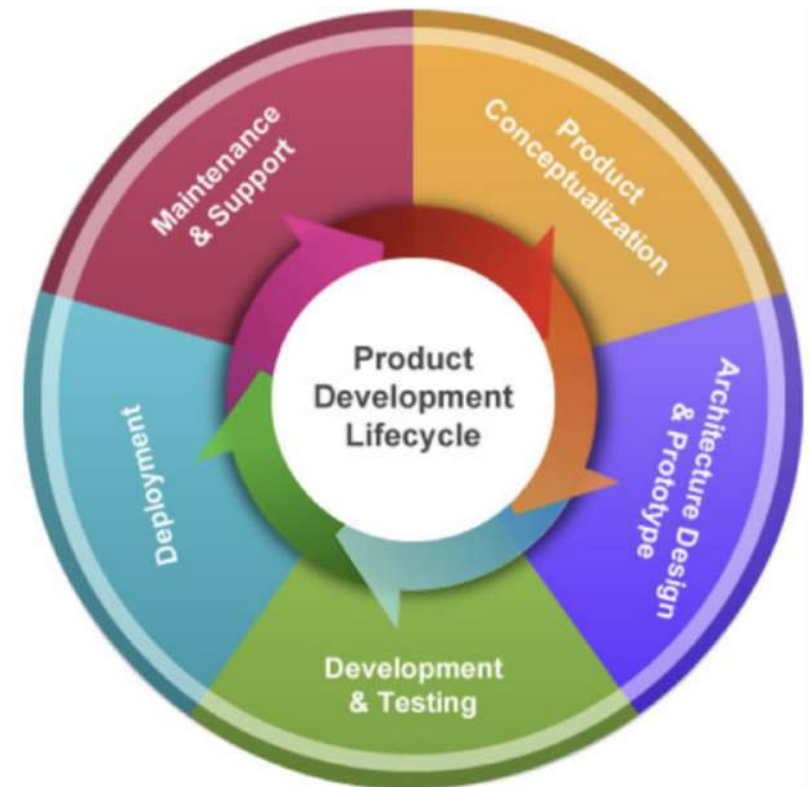
1. Issues arising from machine learning	
Privacy and data protection	Lack of privacy
	Misuse of personal data
	Security problems
Reliability	Lack of quality data
	Lack of accuracy of data
	Problems of integrity
Transparency	Lack of accountability and liability
	Lack of transparency
	Bias and discrimination
	Lack of accuracy of predictive recommendations
	Lack of accuracy of non-individual recommendations
Safety	Harm to physical integrity
2. Living in a digital world	
Economic issues	Disappearance of jobs
	Concentration of economic power
	Cost to innovation
Justice and fairness	Contested ownership of data
	Negative impact on justice system
	Lack of access to public services
	Violation of fundamental human rights of end users
	Violation of fundamental human rights in supply chain
	Negative impact on vulnerable groups
	Unfairness
Freedom	Lack of access to and freedom of information
	Loss of human decision-making
	Loss of freedom and individual autonomy
Broader societal issues	Unequal power relations
	Power asymmetries
	Negative impact on democracy
	Problems of control and use of data and systems
	Lack of informed consent
	Lack of trust
	Potential for military use
	Negative impact on health
	Reduction of human contact
	Negative impact on environment
Uncertainty issues	Unintended, unforeseeable adverse impacts
	Prioritisation of the "wrong" problems
	Potential for criminal and malicious use
3. Metaphysical issues	
	Machine consciousness
	"Awakening" of AI
	Autonomous moral agents
	Super-intelligence
	Singularity
	Changes to human nature

<https://link.springer.com/book/10.1007%2F978-3-030-69978-9> Artificial Intelligence for a Better Future (book)

# Practical Use of the Proposed Ethical Program For Intelligent Emergent Technologies

Ethical requirements must be fulfilled in all phases in the life-cycle of technology, in the context of:

1. Conceptualization/Design/Prototyping/  
Construction/Development/Testing/Production
2. Deployment/Application/
3. Maintenance/Support
4. Oversight/Regulation



<https://www.indiamart.com/kaynes-tecnology-india-pvt-ltd/product-life-cycle-management.html>

# Challenges

Legislation	Global framework	Guidelines	Implementation of Ethics
Keeping legislation up-to-date with current level of automated driving, and emergence of self-driving cars	Creating and defining global legislation frameworks for the implementation of interoperable and development of increasingly automated vehicles	Defining the guidelines that will be adopted by society for building self-driving cars	Including ethical guidelines in design and development processes

# Conclusions

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Before the question of how to build ethical technology in an ethical way comes the question if it is possible. For example, the open question of intelligent autonomous weapons currently prompted ethicists and roboticists to propose a complete ban on intelligent autonomous weapons. Thus, the first question to ask is **whether certain technology is acceptable at all**

When technology can be made beneficial for society and individuals, the next step is to understand how its ethics can be secured. We argue that the ethics of intelligent autonomous robots must permeate application, design, production, and/or maintenance and oversight within the corresponding techno-social system, and must be **based on learning from experience**

Both studies from the literature and our own research emphasize the need for **a system-level approach** involving the entire software-hardware system as well as human, organizational, and social factors.

With the constantly evolving, emergent nature of intelligent technologies, a crucial aspect is their development includes **anticipation and consideration of uncertainties**. **Speculative design with anticipatory ethics are necessary for emerging technologies**

At present, there is a gap between general principles and their specific, context-dependent implementations when **making multi-criteria decisions** and identifying key ethical considerations. This issue can only be resolved through the **collaboration of multidisciplinary teams** with the appropriate expertise, working within the specific context in question.

Ethical principles, guidelines, and assessments, as well as regulatory documents, must be continually **updated and developed** in line with technological advancements and must involve input from all **relevant stakeholders**. Incorporating ethical considerations into the development and use of intelligent autonomous robots is essential for building trustworthy future technology systems.

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