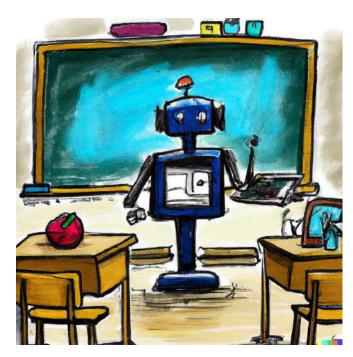


## Proyecto ELAI: Lecciones éticas de la inteligencia artificial Ethical Lessons of Artificial Intelligence





Alexandra Koch, Pixabay

Gordana Dodig-Crnković Mälardalen University & Chalmers University of Technology, Sweden

#### Navigating the White-Water World with Digital Humanism

April 12th, 2024











https://demaquinaseintenciones.wordpress.com/elai/ Salón de Grados, Edificio Padre Soler, campus de Leganés.

https://www.usi.ch/en/feeds/27126 12 April 2024

# Navigating the White-Water World with Digital Humanism

Emergent Intelligent Technologies between Utopia and Dystopia

#### Gordana Dodig Crnkovic

Senior Professor of Computer Science at Mälardalen University and

Professor of Interaction Design, Chalmers University of Technology, Sweden, <a href="http://gordana.se/">http://gordana.se/</a>

#### My affiliations







Division:

Computer Science and Software Engineering

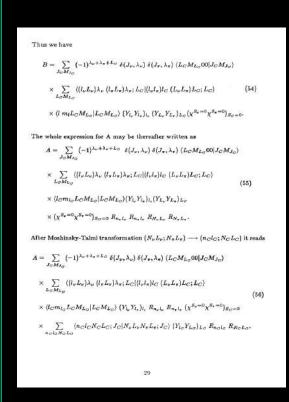
Research groups: Interaction Design and Software Engineering Critical Robotics Division:

Division of Computer Science and Software Engineering

Research groups:

Artificial Intelligence and Intelligent Systems Ubiquitous Computing

#### My background - from formal to natural languages



#### Investigations into Information Semantics and Ethics of Computing

Gordana Dodig-Crnkovic







PhD in Physics, 1988 On Alpha-decay, Department of Physics, University of Zagreb PhD in Computing, 2006 Computer Science, Mälardalen University Current: Morphological Computing and Cognition Al Ethics, Digital Ethics, Digital Humanism

#### Transformative emerging intelligent technologies

- We live in an era of transformative AI technologies that profoundly alter our civilization, reshape existing software and hardware, and challenge our understanding of fundamental concepts such as intelligence, consciousness, language, education, research, ethics, sustainability, government, democracy, being human, and more.
- The pace of technological advancement is accelerating.
- Today's technology isn't an isolated domain managed solely by specialists and industries. Instead, it's an integral component of a broader techno-social system.
- As stakeholders in this development—both professionals and citizens—we must maintain a long-term perspective and actively participate in decision-making about future technologies. We can't assume that a few years from now technology will remain as it is today.
- The most dramatic development we are experiencing is in Al

## Responses to the dramatic development of Al Examples of collective action

#### Pause Giant Al Experiments: An Open Letter

We call on all Al labs to immediately pause for at least 6 months the training of Al systems more powerful than GPT-4.

Signatures **33711** 

Add your signature

March 22, 2023



Signatories include: Yoshua Bengio, Stuart Russell, Gary Marcus, Emad Mostaque, Elon Musk, Tristan Harris, Steve Wozniak and Yuval Noah Harari. Geoffrey Hinton and Yoshua Bengio warned in May 2023:

"Mitigating the risk of extinction from AI should be a global priority alongside other societalscale risks such as pandemics and nuclear war," The letter published by nonprofit organization

Other signatories include researchers from the Vector Institute and Mila, as well as professors from universities across Canada. Open AI CEO Sam Altman, Microsoft CTO Kevin Scott, etc.

Center for AI Safety.

Academics, CEOs sign on in support of Al regulation and Bill C-27 as Canadian companies race to adopt the technology

#### Since Last year, work on Al regulation

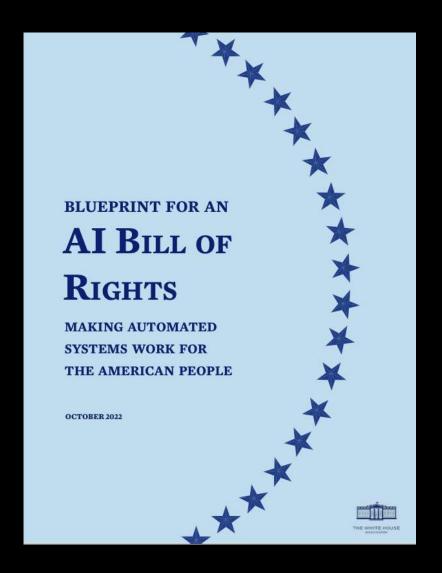
United Nations report (2023) "Governing AI for Humanity"

https://w.un.org.techenvoy/files/ai advisory body interim report.pdf









The US AI Bill of Rights outlines principles, including that people have a right to control how their data is used and to not be discriminated against by unfair algorithms.

It is a white paper, which does not have the force of law. It's primarily aimed at the federal government and could influence which technologies government agencies acquire, or help parents, workers, policymakers, and designers ask tough questions about artificial intelligence systems.

However, it can't constrain large tech companies, which arguably play a bigger role in shaping future applications of Al.

https://www.whitehouse.gov/wp-content/uploads/2022/10/Blueprint-for-an-Al-Bill-of-Rights.pdf

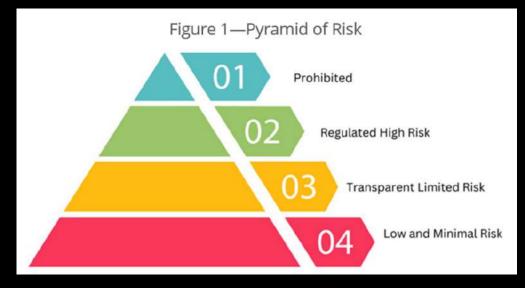
#### EU's "Al Act" (2024)

The world's first AI legislation

Al Act, European Commission. Shaping Europe's digital future

https://digital-

strategy.ec.europa.eu/en/policies/regulatory-framework-ai



**ISACA** 

The European Parliament granted final approval of the EU Artificial Intelligence Act on March 13, 2024, by a vote of 523 for passage, 46 against, and 49 abstaining. The Act faces a final step – approval by EU member states – as its provisions gradually take effect.

### Timeline for the adoption of the European Al Act

date	Milestone
21 April 2021	EU Commission proposes the Al Act
6 December 2022	EU Council unanimously adopts the general approach of the law
9 December 2023	European Parliament negotiators and the Council Presidency agree on the final version
2 February 2024	EU Council of Ministers unanimously approves the draft law on the EU AI Act
13 February 2024	parliamentary committees approve the draft law
13 March 2024	EU Parliament approves the draft law
20 days after its publication in the Journal	Entry into force of the law
6 months after entry into force	Ban on Al systems with unacceptable risk
9 months after entry into force	Codes of conduct are applied
12 months after entry into force	Governance rules and obligations for General Purpose AI (GPAI) become applicable
24 months after entry into force, with specific exceptions	Start of application of the EU AI Act for AI systems (including Annex III)
36 months after entry into force, with specific exceptions	Application of the entire EU AI Act for all risk categories (including Annex II)

https://www.alexanderthamm.com/en/blog/eu-ai-act-timeline/

## THINKING ABOUT THE RESPONSIBILITIES FOR NEW TECHNOLOGY ASSIGNMENT OF RESPONSIBILITY: WHO DECIDES?

#### Time perspective

- Short-term perspective We, humans, decide
- Middle-term perspective AGI & We co-decide
- Long-term perspective
  Superintelligence? Who decides?

#### Levels of Al

- ANI (Narrow AI)
- AGI (Artificial General Intelligence)
- ASI (Artificial Super Intelligence)

#### Stakeholders

- Politicians
- Legislators
- Businesses
- Requirements engineers
- Designers, Developers
- Programmers
- Deployment engineers, testers
- Maintenance engineers

Learning from experience. Feedback on development & design

https://tinyurl.com/pjbdyn95 Global Al governance: barriers and pathways forward- Huw Roberts, Emmie Hine, Mariarosaria Taddeo, Luciano Floridi

#### Questions

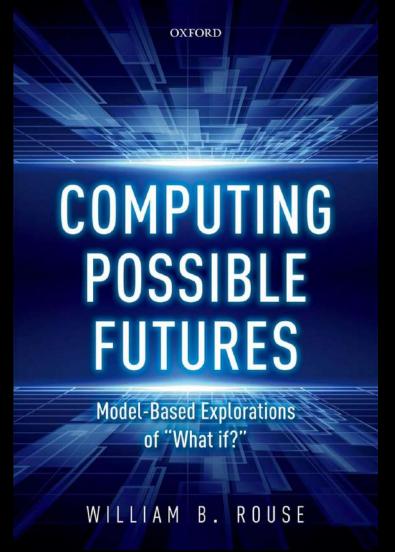
- In the turbulent currents of today's world, filled with disruptive intelligent technologies, how can we navigate to evade dystopic scenarios? (Al controlling humans, taking over, and eventually destroying humans. Humans with the help of Al enslaving other humans)
- How can we envision the broader landscape of a future humancentered digital society? What would human flourishing mean?
- What does a desirable future look like for both humans and our planet, steering towards common preferred futures/utopias?

#### Plan of the talk

- Navigating Possible Futures: Speculative Design
- Complexity & Systemic Thinking
- A White Water World & Emergence in Ecologies of Change
- Value-based Human-centric Design
- Digital Humanism
- A Case Study: Ethics Of Autonomous Cars
- Wrap-up



# We are discussing possible futures with socially disruptive technologies





OF COURSE, PRESENT-DAY TECHNOLOGY CAN NOT BE NEGLECTED, LIKE FEMINIST APPROACHES AND CRITICAL DESIGN, BUT WE DO NOT FOCUS ON THAT.

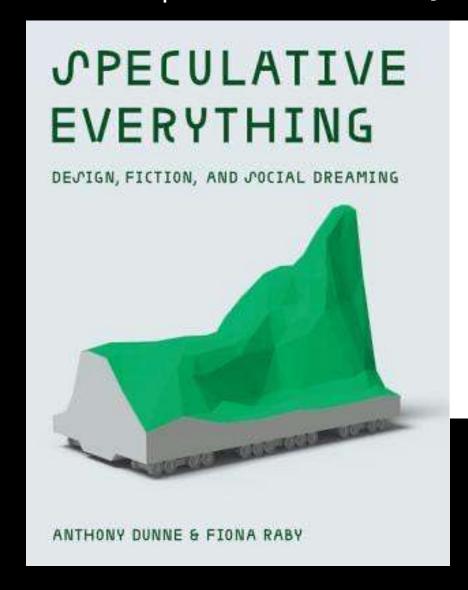
## Design for possible & preferable futures – SPECULATIVE DESIGN

Speculative design combines informed, hypothetical extrapolations of an emerging technology's development with a deep consideration of the cultural landscape into which it might be deployed, to speculate on future products, systems and services.

These speculations are then used to examine and encourage dialogue on the impact a specific technology may have on our everyday lives.

Auger Loizeau

#### Speculative Everything – Antony Dunne and Fiona Raby





"what if" questions Aesthetics of unreality Between reality and the impossible Speculative everything. https://www.youtube.com/watch?v=kmibm20UsoA

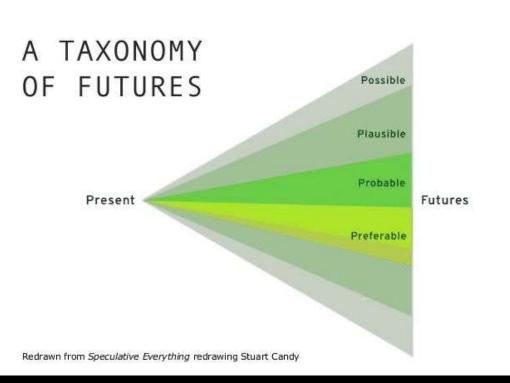


Table of Contents:

Beyond radical design?

A map of unreality

Design as critique

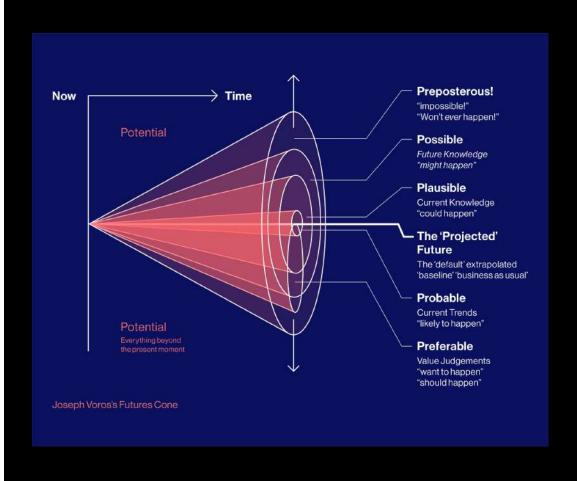
Consuming monsters: big, perfect, infectious

A methodological playground: fictional worlds and

thought experiments

Physical fictions: invitations to make believe

#### Speculative Design creates space to...



Arrange emerging (not yet available) technological 'elements' to hypothesize future, products and artifacts.

Apply alternative plans, motivations, or ideas to those currently driving technological development, in order to facilitate new arrangements of existing elements.

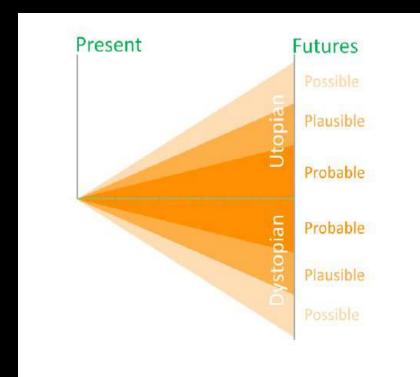
Develop new perspectives on big systems.

#### Speculative Design Facilitates...

Exploration of 'What is a better future (with respect to the present)?'

Generating a better understanding of the potential implications of a specific (disruptive) technology in various contexts and on multiple scales – with a particular focus on everyday life.

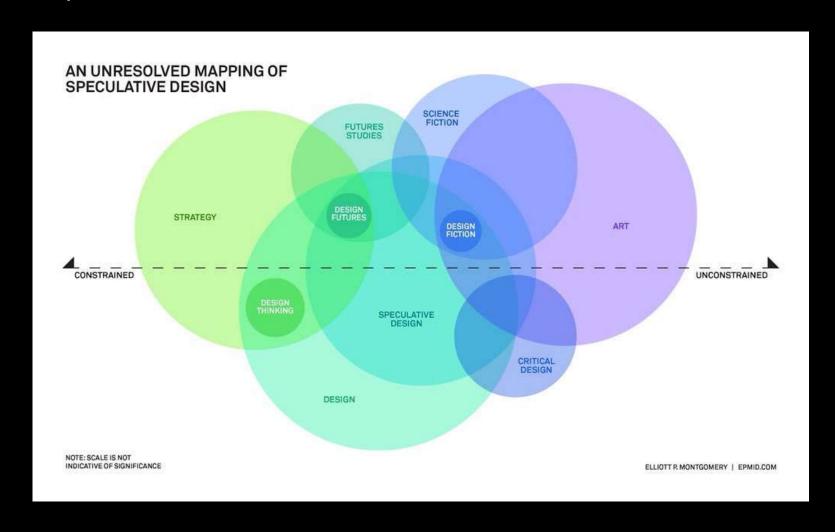
Moving design 'upstream' – to not simply package technology at the end of the technological journey but to impact and influence that journey from its genesis.



Giovanni M Troiano, Matthew Wood, Mustafa Feyyaz Sonbudak, Riddhi Chandan Padte, and Casper Harteveld. 2021. "Are We Now Post-COVID?": Exploring Post-COVID Futures Through a Gamified Story Completion Method. In Proceedings of the 2021 ACM Designing Interactive Systems Conference (DIS '21). ACM, New York, NY, USA, 48–63.

https://doi.org/10.1145/3461778.3462069

#### Speculative Design and its context



#### Complexity & systemic thinking in hyper-connected society



#### Design Unbound. Designing for emergence in a 'white water world'.

(1) Designing for Emergence & (2) Ecologies of Change

Design Unbound. Designing for Emergence in a White Water World.

Ann Pendleton-Jullian and John Seely Brown, MIT Press 2018

https://www.desunbound.com/ https://www.youtube.com/watch?v=-U8h4wNBfCQ https://www.youtube.com/watch?v=tFPvK1mO6Sg https://www.youtube.com/watch?v=Lto8szGvPfM https://www.desunbound.com/assets/DesUnbound\_chapter\_8.pdf



#### A 'White Water World' – complex & dynamic

"We are forcing the past as a solution set. But the past as a solution set is not a viable option. We need a new toolset." Complexity science gives us a new lens through which to view the world as one that is entangled and emerging.

Design Unbound presents a new tool set for having agency in the world today, which we characterize as a 'white water world' – one that is rapidly changing, hyperconnected and radically contingent.

Imagination as a 'muscle that must be exercised' (John Seely Brown)

Hyperconnectivity transition from equilibrium to constant non-equilibrium. The need for adaptivity, anticipation and resilience.



'Wicked problems': As soon as you start to solve them, they morph.
"Computational irreducibility" - you must run the model to see the outcome.
Computation takes the same time as the process itself.

THE WAY WE MAKE DECISIONS

#### VALUE-BASED HUMAN-CENTRIC DESIGN

#### Values

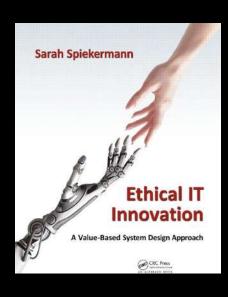
Values serve as a guide to action and knowledge.

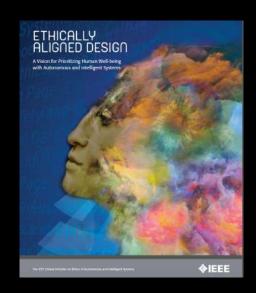
They are relevant to all aspects of scientific and engineering practice, including discovery, analysis, and application.



TUANA. COMMUNICATIONS OF THE ACM | DECEMBER 2015 | VOL. 58 | NO. 12

#### A VALUE-BASED DESIGN APPROACH

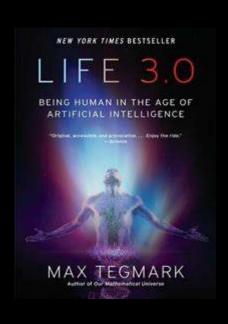


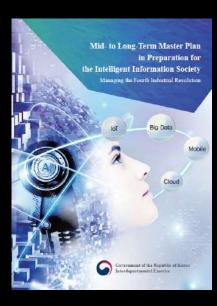


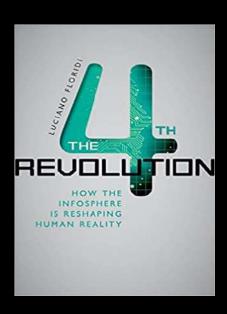
One question we can ask is: How much time can we afford to spend on the "ideation phase" before starting to actually build technology?

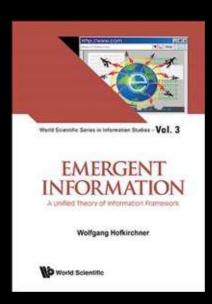
Andrew Ng points out for a startup it is more profitable to identify which technology can be built, and then go and build it, instead of spending a lot of time thinking about all possible alternatives: <a href="https://www.youtube.com/watch?v=5p248yoa3oE">https://www.youtube.com/watch?v=5p248yoa3oE</a> (29:08)

#### Human-centered future intelligent society









"In the Fourth Industrial Revolution, the convergence of artificial intelligence, robot technology, big data and software disrupts fields such as labor, welfare, employment, education and defense. This has sparked revolutionary change across society."

Wikipedia, <a href="https://en.wikipedia.org/wiki/Intelligent">https://en.wikipedia.org/wiki/Intelligent</a> information society

#### The Digital Humanism Initiative

The Digital Humanism Initiative is an international collaboration seeking to build a community of scholars, policy makers, and industrial players who are focused on ensuring that technology development remains centered on human interests.

- Digital humanism is a global, international issue.
- The approach: scientific, transdisciplinary, interdisciplinary, multidisciplinary, in the tradition of the Enlightenment.
- People are the central focus, as individuals and societies.
- Technology is for people and not the other way around.
- Humankind is at the center.
- Building a just and democratic society with humans at the center of technological progress.

<u>https://dighum.ec.tuwien.ac.at/</u> Digital Humanism movement web page @ TUW – Technical University in Vienna

E. Prem, L. Hardman, H. Werthner, P. Timmers (eds.). Research, innovation, and education roadmap for digital humanism. The Digital Humanism Initiative. Vienna, 2022. https://dighum.ec.tuwien.ac.at/

#### Perspectives on Digital Humanism - Open Access

**Hannes Werthner** Erich Prem Edward A. Lee Carlo Ghezzi Editors Perspectives on Digital Humanism OPEN ACCESS

Hannes Werthner, Erich Prem, Edward A. Lee, and Carlo Ghezzi (eds): **Perspectives on Digital Humanism**, Springer, 2022. <a href="https://link.springer.com/book/10.1007/978-3-030-86144-5">https://link.springer.com/book/10.1007/978-3-030-86144-5</a>

#### Introduction to Digital Humanism – A Textbook Open Access

Hannes Werthner · Carlo Ghezzi · Jeff Kramer · Julian Nida-Rümelin · Bashar Nuseibeh · Erich Prem · Allison Stanger *Editors* 

# Introduction to Digital Humanism

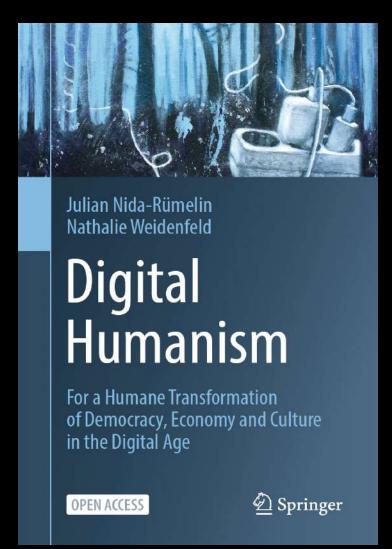
A Textbook

OPEN ACCESS



Hannes Werthner, Carlo Ghezzi, Jeff Kramer, Julian Nida-Rümelin, Bashar Nuseibeh, Erich Prem, and Allison Stanger (eds): Introduction to Digital Humanism, Springer, 2024. <a href="https://link.springer.com/book/10.1007/978-3-030-86144-5">https://link.springer.com/book/10.1007/978-3-030-86144-5</a>

# Digital Humanism – For a Humane Transformation Of Democracy, Economy, and Culture in the Digital Age Open Access



Julian Nida-Rümelin, Nathalie Weidenfeld (eds): **Digital Humanism.** For a Humane Transformation of Democracy, Economy and Culture in the Digital Age, Springer, 2022. <a href="https://link.springer.com/book/10.1007/978-3-031-">https://link.springer.com/book/10.1007/978-3-031-</a>

12482-2

#### Digital Humanism Lecture Series

https://dighum.ec.tuwien.ac.at/news-events/

https://www.youtube.com/@DigitalHumanism Youtube channel (Stuart Russel, Gary Marcus, Edward Lee, Deborah G. Johnson, Julian Nida-Rümelin,...)

#### Digital Humanism Manifesto

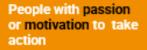
"Today, we experience the co-evolution of technology and humankind."

The flood of data, algorithms, and computational power is disrupting the very fabric of society by changing human interactions, societal institutions, economies, and political structures.

Science and the humanities are not exempt. This disruption simultaneously creates and threatens jobs, produces and destroys wealth, and improves and damages our ecology. It shifts power structures, thereby blurring the human and the machine."

#### Viable Initiatives in a Hyperconnected, Dynamic, Emergent World

#### Who do we need to bring together to create viable initiatives?



People with authority or mandate to drive change

#### Voice of Intent

Ecosystem

for Change

#### Voice of Experience

People with lived experience of the issue, and ground-level context

People who will be a user of, or affected by the intervention

#### Voice of Design

People who can broker, facilitate and coordinate

People who can connect diverse communities

People who can represent and document progress accessibly

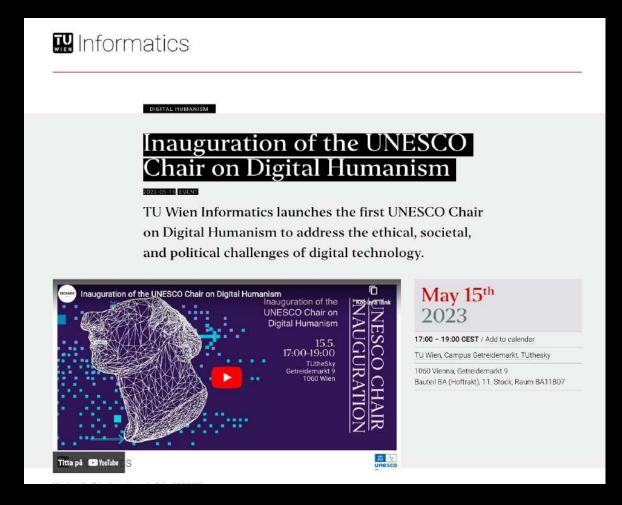
#### Voice of Capability

People with resources to contribute (money, labour) People with specialist knowledge, skills and tools People with access to problem space (e.g. worksites)

How do we connect people who want to do something, with people who can help them do it, while staying grounded in real-world need and context to ensure it works?

#### UNESCO Chair on Digital Humanism

Peter Knees Chair and Julia Neidhardt Co-Chair

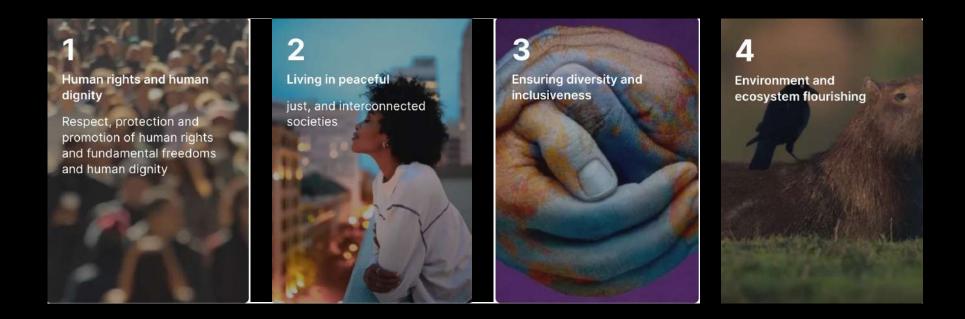


"UNESCO uses education, science, culture, communication and information to foster mutual understanding and respect for our planet."

CAIML - Center for Artificial Intelligence and Machine Learning. <a href="https://www.tuwien.at/caiml/">https://www.tuwien.at/caiml/</a>

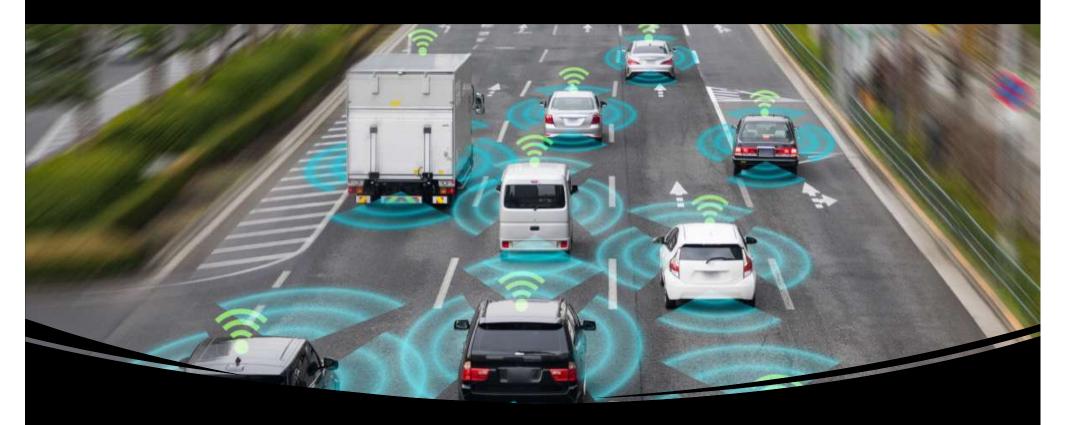
https://informatics.tuwien.ac.at/stories/2383

## UNESCO 'Recommendation on the Ethics of Artificial Intelligence'



https://www.unesco.org/en/artificial-intelligence/recommendation-ethics

#### Case study - Autonomous Cars Ethics



Autonomous cars As a special case of intelligent emerging technology

Book chapter: "Steps Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem".

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). In Steven John Thompson (Ed.), Machine Law, Ethics, and Morality in the Age of Artificial Intelligence. IGI Global

Picture: https://www.aarete.com/insights/what-is-the-business-case-for-autonomous-vehicles-in-the-supplychain/

#### Challenges

- Hardware and software adequacy
- Vulnerabilities of machine-learning algorithms
- Control of trade-offs between safety and other factors (like economic) in the design, manufacturing and operation
- Possibility of intervention in case of major failure of the system and graceful degradation
- Systemic solutions to guarantee safety in organizations (regulations, authorities, safety culture)

#### Safety

#### Approaches

- Setting safety as the first priority
- Learning from the history of automation
- Learning from experience of current use
- Specification of how a system will behave in cases when autonomous operation is disabled (safe mode)
- Preparedness for handling "loss of control" situations- autonomous systems running amok
- Regulations, guidelines, standards being developed as the technology develops

#### Security

#### Challenges

- Minimal necessary security requirements for deployment of the system
- Security in the context and connections
- Deployment of software updates
- Storing and using received and generated data in a secure way

#### Approaches

- Technical solutions to guarantee minimum security under all foreseeable circumstances
- Anticipation and prevention of the worst-case scenarios
- Accessibility of data, even in the case of accidents, learning from experience

## Nonmaleficence

#### Challenges

- Risk of technology causing harm, physical, cognitive, psychological, social, etc.
- Disruptive changes in the labor market
- Transformation of related businesses, markets, and business models (manufacturers, insurance, etc.)
- Loss of human skills
- Loss of autonomy

#### Approaches

- Partly covered by technical solutions, but interdisciplinary approaches are needed
- Preparation of strategic solutions for people losing jobs
- Learning from historic parallels to industrialization and automatization

# Responsibility and Accountability

#### Challenges

 Assignment and distribution of responsibility and accountability as some of central regulative mechanisms for the development of new technology

#### Approaches

 The Accountability, Responsibility, and Transparency (ART) principle (Virginia Dignum) based on a Design for Values approach that includes human values and ethical principles in the design processes Humans in the loop

Freedom of choice

To what extent will the user be in control?

## Stakeholders Interests

Will the AI do, what I want it to do?

Implementation of restrictions

Loss of jobs compensation

Impacts on society as a whole

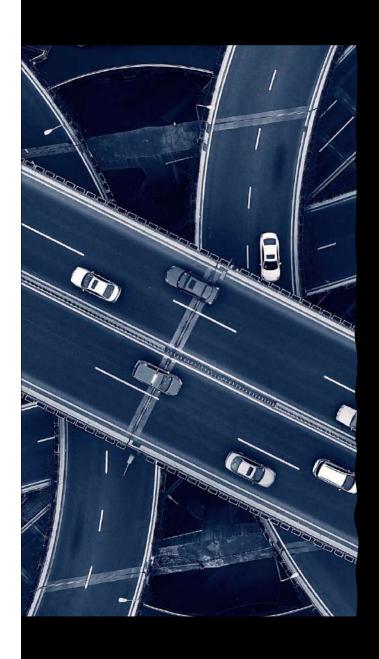
#### Social Trust

#### Challenges

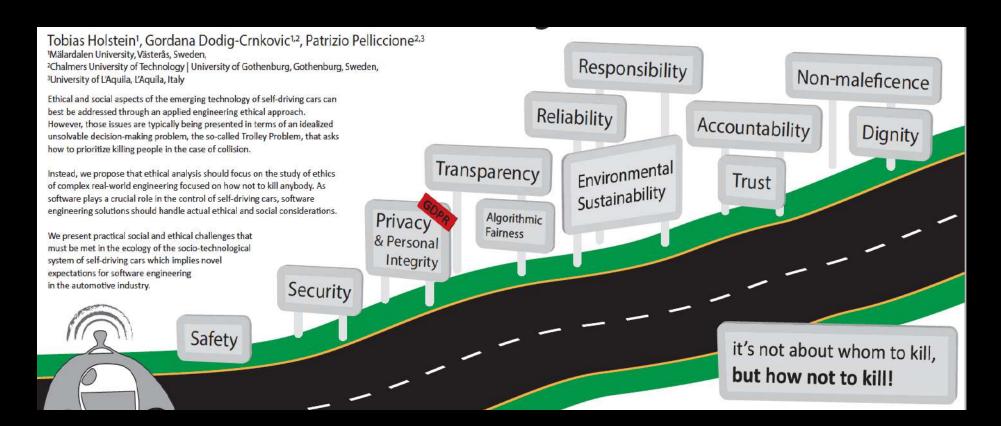
• Establishing trust between humans and robots as well as within the social system involving robots

#### Approaches

- Further research on how to implement trust across multiple systems
- Provision of trusted connections between components as well as external services



Value-based Ethical Guidelines for Self-Driving Cars

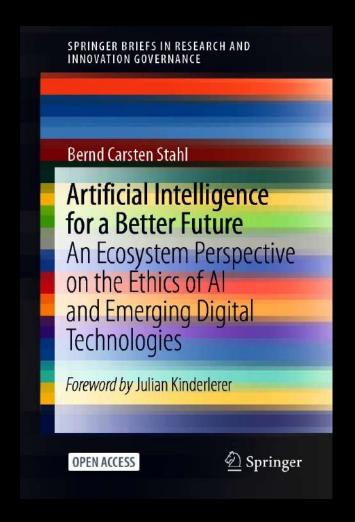


### Ehics of Self-Driving Cars

Presented at major SE conference ICSE2020 as poster Extended version in a book chapter:

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). <u>Steps</u> <u>Towards Real-world Ethics for Self-driving Cars: Beyond the Trolley Problem</u>. In Steven John Thompson (Ed.), Machine Law, Ethics, and Morality in the Age of Artificial Intelligence. IGI Global

#### Our Future with Al



## AI FOR A BETTER FUTURE

An Ecosystem Perspective on the Ethics of AI and Emerging Digital Technologies

Bernd Carsten Stahl

## Organizational Ethical Issues of Al

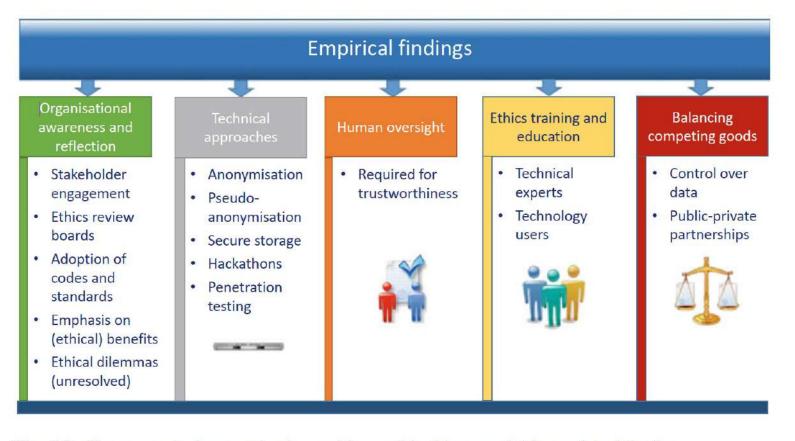
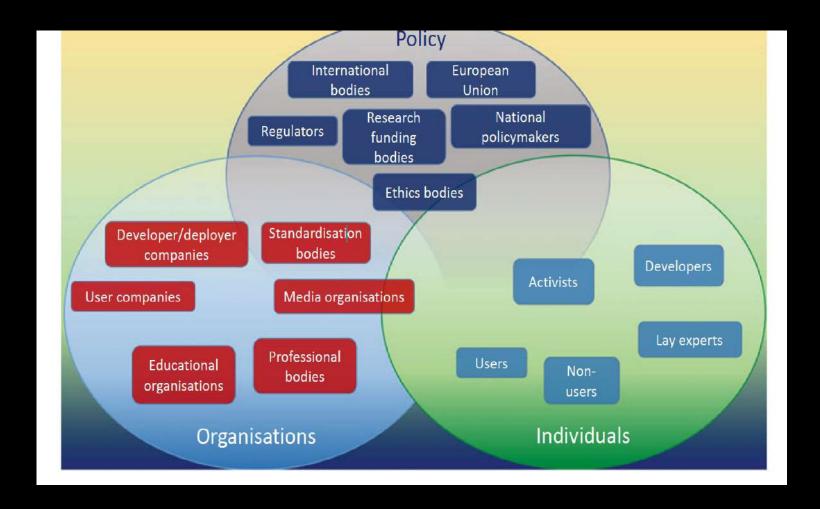


Fig. 5.2 How case study organisations address ethical issues of AI: empirical findings

Bernd Carsten Stahl (2021) Artificial Intelligence for a Better Future, An Ecosystem Perspective on the Ethics of AI and Emerging Digital Technologies <a href="https://link.springer.com/book/10.1007%2F978-3-030-69978-9">https://link.springer.com/book/10.1007%2F978-3-030-69978-9</a>

### Overview of AI stakeholders



## Key Challenges of Ethical Governance of Al

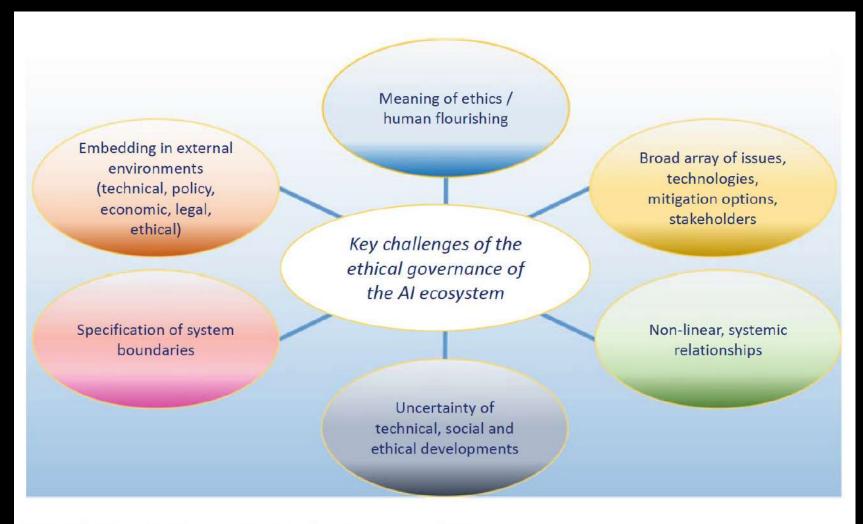


Fig. 7.1 Key challenges of ethical governance of AI ecosystems

## Practical Use of the Proposed Ethical Program for Intelligent Emergent Technologies -

Importance of Transdisciplinarity and Transversal Knowledge

Ethical requirements must be fulfilled in all phases in the life-cycle of technology, in the context of:

- Conceptualization/Design/Prototyping/
   Construction/Development/Testing/Production
- Deployment/Application/
- Maintenance/Support
- Oversight/Regulation



Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). In Steven John Thompson (Ed.), Machine Law, Ethics, and Morality in the Age of Artificial Intelligence. IGI Global

### Challenges for Emergent Technologies

Legislation	Global framework	Guidelines	Implementation
Keeping legislation up-to- date with current level of automated driving, and emergence of self-driving cars	Creating and defining global legislation frameworks for the implementation of interoperable and development of increasingly automated vehicles	Defining the guidelines that will be adopted by society for building selfdriving cars	Including ethical guidelines in design and development processes

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). In Steven John Thompson (Ed.), Machine Law, Ethics, and Morality in the Age of Artificial Intelligence. IGI Global

#### Building Ethical Technology in an Ethical Way

Work on the shared vision of emergent technologies.

Anticipation and consideration of uncertainties/Speculative design

A system-level approach involving the entire software-hardware system as well as human stakeholders, with organizational, and social factors.

Multi-criteria decisions. Multidisciplinary approach.

Learning from experience from the whole life cycle of technology.

Holstein, T., Dodig-Crnkovic, G., & Pelliccione, P. (2021). In Steven John Thompson (Ed.), Machine Law, Ethics, and Morality in the Age of Artificial Intelligence. IGI Global

## Ethical Lessons of Artificial Intelligence

Responsibility in Al Development: recognizing the responsibility of developers and engineers to create Al systems that are not only effective but also fair, transparent, and non-discriminatory.

Impact on Society: There are lessons to be learned regarding the societal impact of AI, such as the potential for job displacement, privacy concerns, and changes in social dynamics.

Bias and Fairness: Al can inadvertently perpetuate or amplify existing biases if not carefully designed and monitored. Understanding and addressing these issues is a crucial ethical lesson.

Responsibility in Al Development: recognizing the responsibility of developers and engineers to create Al systems that are not only effective but also fair, transparent, and non-discriminatory.

Transparent, and their decisions can be explained and understood by humans is an important ethical consideration.

A c c o u n t a b i l i t y : Establishing clear lines of accountability for Al's decisions and actions, particularly when they lead to harm or injustice, is an ethical challenge that must be addressed.

S a f e t y a n d S e c u r i t y : Ensuring that Al systems are safe from malicious uses and are secure against potential breaches is an ongoing ethical concern.

Regulation and Governance: Determining the appropriate level of regulation and the governance structures needed to oversee AI development and implementation is an essential ethical lesson.

Beneficence and Nonmaleficence: Al should be designed and used in ways that benefit people and society at large while avoiding harm, reflecting these core ethical principles.

## Wrap-up

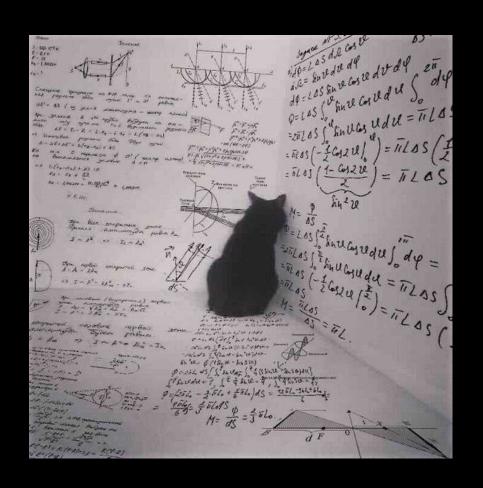
The main topics we visited during this talk

- Navigating Possible Futures: Speculative Design
- A White Water World & Emergence in Ecologies of Change
- Value-based Human-centric Design
- Digital Humanism
- Case Study: Ethics of Autonomous Cars



As Al technology becomes more and more powerful, the age-old wisdom applies: "With great power comes great responsibility."

The perspective of Digital Humanism was presented as a way of approaching the contemporary white-water world, driven by the prospect of a more humane and inclusive future.



Q & A TIME!

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